



KENTUCKY

Cabinet for Health and Family Services

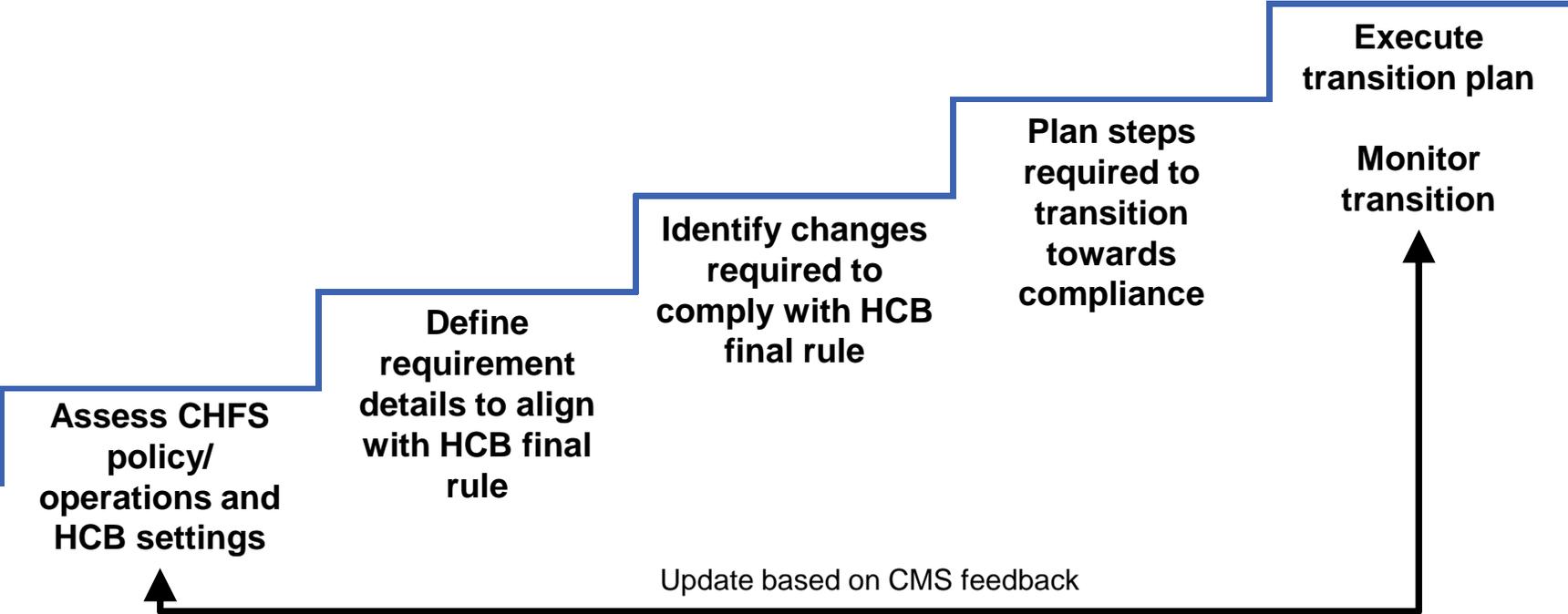
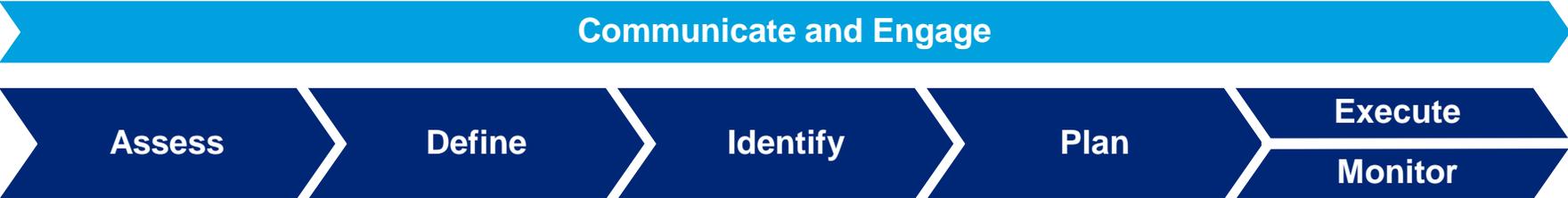
DEPARTMENT FOR MEDICAID SERVICES (DMS)

HCB Final Rule Transition
Plan for Michelle P Waiver
July 25, 2014

Purpose

The purpose of this transition plan is to outline the key activities that the Kentucky Department for Medicaid Services will facilitate to transition its Michelle P Waiver to become compliant with the recently released final rule pertaining to Home and Community Based Services over the next five years.

Overview



Assess



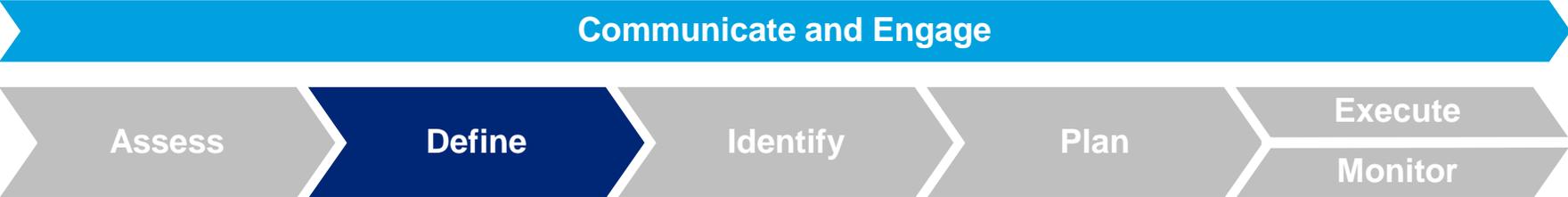
Key State Activities

- **Gather**
 - Gather claims and other provider data sources
 - Compile state policy and operations documents pertaining to HCB settings
 - Conduct detailed surveys/questionnaires of providers, members, and stakeholders to assess individual setting compliance
 - Complete site visits to provider locations to confirm survey/questionnaire responses
- **Analyze**
 - Review state policy and operations documents to document areas of impact
 - Analyze data to categorize each setting into the following group:
 - Compliant: Currently complies with HCB final rule requirements
 - Not Compliant: Does not currently comply, but has opportunity to become compliant

Key Stakeholder Activities

- Complete surveys/questionnaires
- Provide input on compliant / non-compliant setting characteristics

Define



Key State Activities
<ul style="list-style-type: none">Define Kentucky-specific HCB requirements to comply with HCB final rule
Key Stakeholder Activities
<ul style="list-style-type: none">Provide feedback on KY-specific requirements

Identify



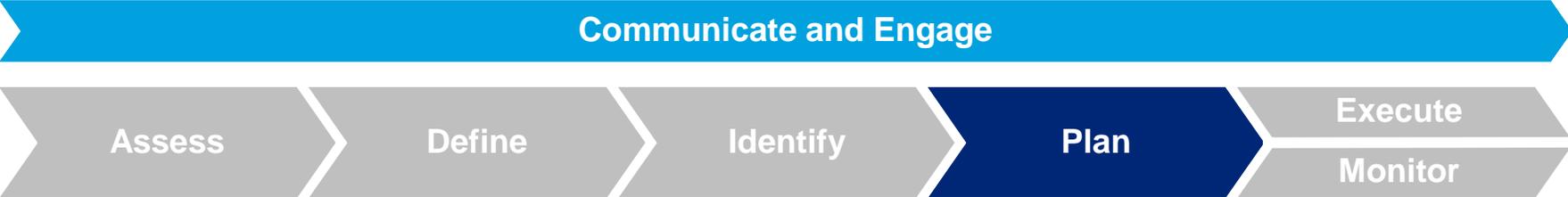
Key State Activities

- Identify changes required to categories of settings that are not in compliance
- Document rationale preventing non compliant settings from becoming compliant
- Identify changes required to state policy/operations
 - Policy
 - Kentucky Administrative Regulation
 - CMS Waiver Application
 - Operations
 - Provider manuals and documentation
 - System changes
 - Communications

Key Stakeholder Activities

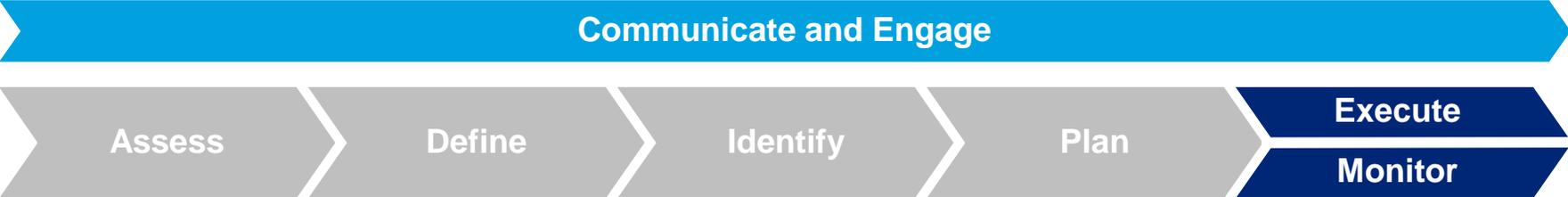
- Provide feedback on changes identified for provider settings
- Provide feedback on rationale for non-compliance

Plan



Key State Activities
<ul style="list-style-type: none">• Identify detailed steps required for compliance• Develop detailed compliance strategy work plan
Key Stakeholder Activities
<ul style="list-style-type: none">• Provide feedback compliance strategy

Execute / Monitor



Execute	Monitor
<p>Key State Activities</p> <ul style="list-style-type: none"> • Carryout transition activities identified in work plan 	<p>Key State Activities</p> <ul style="list-style-type: none"> • Provide regular monitoring and oversight of transition activities • Report on key outcomes metrics for members and providers
<p>Key Stakeholder Activities</p> <ul style="list-style-type: none"> • Participate in transition activities • Provide feedback throughout transition 	<p>Key Stakeholder Activities</p> <ul style="list-style-type: none"> • Review regular reporting • Provide ongoing feedback

Timeline of Activities



	2015				2016				2017				2018				2019			
	Q1	Q2	Q3	Q4																
Assess	█	█	█																	
Define				█																
Identify					█															
Plan						█	█	█												
Execute/Monitor									█	█	█	█	█	█	█	█	█	█	█	█