

DEPARTMENT OF HEALTH AND HUMAN SERVICES  
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 10/22/2013  
FORM APPROVED  
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  185057	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____	(X3) DATE SURVEY COMPLETED  C 10/15/2013
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NAME OF PROVIDER OR SUPPLIER  SUNRISE MANOR NURSING HOME	STREET ADDRESS, CITY, STATE, ZIP CODE 717 NORTH LINCOLN BLVD HODGENVILLE, KY 42748
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETION DATE
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F 000	INITIAL COMMENTS  A complaint investigation was initiated and concluded on 10/15/13 to investigate KY 20779. The Division of Health Care substantiated the allegation with a deficiency cited.	F 000	1. Resident 3 and Resident 4 received and signed their year to date trust fund statement on 10-17-2013 from the Assistant Business Office Manager	10-27-13
F 159 SS=E	483.10(c)(2)-(5) FACILITY MANAGEMENT OF PERSONAL FUNDS  Upon written authorization of a resident, the facility must hold, safeguard, manage, and account for the personal funds of the resident deposited with the facility, as specified in paragraphs (c)(3)-(8) of this section.  The facility must deposit any resident's personal funds in excess of \$50 in an interest bearing account (or accounts) that is separate from any of the facility's operating accounts, and that credits all interest earned on resident's funds to that account. (In pooled accounts, there must be a separate accounting for each resident's share.)  The facility must maintain a resident's personal funds that do not exceed \$50 in a non-interest bearing account, interest-bearing account, or petty cash fund.  The facility must establish and maintain a system that assures a full and complete and separate accounting, according to generally accepted accounting principles, of each resident's personal funds entrusted to the facility on the resident's behalf.  The system must preclude any commingling of resident funds with facility funds or with the funds of any person other than another resident.	F 159	2. Business Office Manager will audit current residents who have resident trusts funds, and BIMS scores of 8 or higher to determine whether residents receiving their quarterly statements. Residents who have trust funds will receive quarterly statements, if they have not. Resident below a BIM's score of an 8, their families currently receive a quarterly trust fund statement  3. All residents with a BIMS score of 8 or above who have resident trust fund accounts will receive their quarterly statements and will sign them from the Business Office Manager or receptionist. A signed copy will be kept in the business office by the Assistant Business Office Manager. The Business Office Manager will be responsible to ensure residents with BIMS score of eight or higher receive their quarterly statements.	11-27-13

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE <i>Thomas F. [Signature]</i>	TITLE X Administrator	(X6) DATE X 10-25-13
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Any deficiency statement ending with an asterisk (\*) denotes a deficiency which the institution may be excused from correcting providing it is determined that the safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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The individual financial record must be available through quarterly statements and on request to the resident or his or her legal representative.

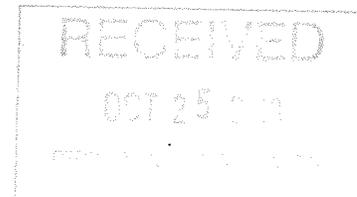
The facility must notify each resident that receives Medicaid benefits when the amount in the resident's account reaches \$200 less than the SSI resource limit for one person, specified in section 1611(a)(3)(B) of the Act; and that, if the amount in the account, in addition to the value of the resident's other nonexempt resources, reaches the SSI resource limit for one person, the resident may lose eligibility for Medicaid or SSI.

This REQUIREMENT is not met as evidenced by:  
Based on interview, record review and review of the facility's policy, it was determined the facility failed to assure a system was in place to honor residents' requests for personal funds after non-banking hours. The facility failed to provide quarterly statements to two (2) of (5) sampled residents. Resident #3 voiced the facility did not provide a quarterly statement and Resident #4 quarterly statement was mailed the resident's previous address.

The findings include:  
Review of the facility's policy, dated 12/19/09, Accounts Receivable, revealed the facility had established a resident fund petty cash box. The funds were available to ensure the residents had access to funds for their personal needs. The facility was required to present to the resident/responsible party a quarterly resident trust statement.

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Residents will have access to cash M-F from 10-9PM and Saturday-Sunday from 10a-7pm. A bank bag containing \$50 will be kept in the small safe located below the receptionist desk and only the business office manager, assistant business office manager, and receptionists will have access to it. The Business Office staff will be responsible for giving the resident money and then writing a receipt to ensure it is deducted from the resident's trust fund. The bank bag will be placed back into the safe after every transaction. If the receptionist gives all of the \$50, the receptionist will get another \$50 from the business office for the bank bag. On evenings and weekends, the bank bag will contain \$100. The business office will refill the bank bag at the beginning of each shift and count the money at the end shift. On weekends the money will be counted at the end of business Friday and monies and receipts will be counted at the beginning of business on Monday. Any deposits into resident trust funds will be placed in a lockbox at the copier room. The key to the lock box is kept in the safe at the business office. After the business office closes, \$25 will be given to each nurses station by the receptionists, or business office staff before they leave and locked in the med carts narcotic box for



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Review of the facility's Resident Report of Brief Interview Mental Status (BIMS), dated 10/15/13, revealed Resident #3 and #4 had a maximum value score of fifteen (15) deemed cognitively intact.

Interview with Resident # 3, on 10/15/13 at 10:35 AM, revealed the resident had a resident trust account. The resident stated the business office recently counceled him/her on the low funds in his/her trust account. The resident continued to state the facility did not provide or present them with a quarterly bank statement. The resident further stated only when the front office was open could the residents have access to their funds.

Interview with Resident #4, on 10/15/13 at 10:05 AM, revealed the resident had trust account. The resident stated when requested the facility would inform him/her of their account balance. Resident #4 stated the facility had not provided him/her with a quarterly bank statement.

Review of the business office records revealed Resident #3 had been counceled on 09/09/13 by the Business Office Manager (BOM). Continued review revealed no evidence of the resident being presented with a quarterly statement. Review of Resident #4's Fund Management Service Statement revealed the quarterly statement was being mailed to the resident's home of address even though the resident did not live there.

Interview with the Assistant Business Office Manager (ABOM), on 10/15/13 at 3:05 PM, revealed petty cash was available to residents only when the receptionist/ABOM or BOM were available. The ABOM stated the BOM was usually at the facility until 7:00 PM and the

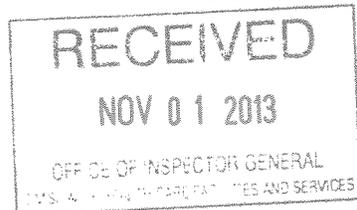
F 159

safekeeping so the residents can access to money after the business office closes and receptionist leaves for the night. The licensed nurses will be responsible for counting the money during shift change at the end of the narcotic medication count. BOM, ABOM, and receptionist will be in serviced on this process from the Administrator by November 15, 2013. Licensed Nurses will be in-serviced by the Business Office Manager by November 15, 2013 on providing money to residents after the business office leaves for the day.

4. Chaplain/Social Services will interview a random sample of 10 per month residents with BIMS scores above an 8 who have trust funds asking them if they received their resident trust fund quarterly statements. Results of audits will be reported to QA monthly for 6 months.

Business Office Manager will audit copies of resident trust funds quarterly statement to ensure residents who have a BIMS score of 8 or higher received their quarterly statements. The results of the audit will be reported monthly for 6 months.

Findings of the audits to include time of allegation and time of reporting allegation will be discussed monthly Quality Assurance Meeting and in the Quality Assurance Meeting for six months.



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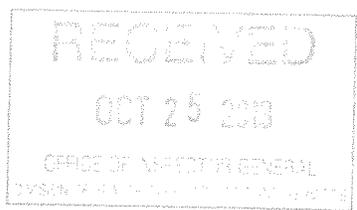
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F 159	<p>Continued From page 3</p> <p>residents should need no monies after that time. She continued to state the Business Office Manager (BOM), along with herself, lived in the city and would access the resident's funds if needed. The ABOM further stated no system was in place for resident's to access personal funds if the BOM or ABOM were not available and the front office was closed. The ABOM stated quarterly statements are mailed to the legal guardians to decrease anxiety to the residents. She was unsure of why Resident #3 did not get a quarterly statement and continued to state Resident #4's quarterly statement should be provided to the resident not the previous address.</p> <p>Interview with the BOM, on 10/15/13 at 3:40 PM, revealed the residents had access to their personal funds only if the receptionist, ABOM or BOM were available. She stated there was no system in place for the resident to access personal funds if the receptionist, ABOM or BOM are not available. The BOM stated the ABOM was responsible to provide residents/legal representatives with the quarterly statements. She stated the facility realized the resident accounting system was not efficient.</p>	F 159		
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RECEIVED  
 OCT 25 2013  
 OFFICE OF INSPECTOR GENERAL  
 DEPARTMENT OF HEALTH AND HUMAN SERVICES