



**CABINET FOR HEALTH AND FAMILY SERVICES
DEPARTMENT FOR PUBLIC HEALTH**

Matthew G. Bevin
Governor

Division of Administration & Financial Management
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Vickie Yates Brown Glisson
Secretary

TO: Kelli Hill, Assistant Director
Division of General Accounting

FROM: Jenny Glass, Branch Manager
Division of Administration and Financial Management

DATE: June 1, 2016

SUBJECT: Grant Award

The Department for Public Health has received the following commitment from the Federal Government to finance certain allowable expenditures made on their behalf. Please assure the necessary grant/subprogram information is established to accommodate the required grant.

Title of Award /Grant: State Indoor Radon Program
Award/Grant Period: 04/01/2010-03/31/2017 Former Award/Grant Amount: **\$1,859,532**

Award/Grant No.: K1-97426110-F CFDA No.: 66.032
Contact Person: Martie Kupchinsky

PRIMARY DEPARTMENT

- Grant-

Program	Program	Period	PBU	Agy	
<u>026100</u>	<u>10</u>	<u>SPHD</u>	<u>728</u>		\$294,194

TOTAL \$2,153,726

Based upon the attached "Notification of Grant Award" or other acceptable documentation, the Cabinet for Health Services is authorized to charge expenditures against this grant in the amount of **\$2,153,726** beginning 4/1/10.

	U.S. ENVIRONMENTAL PROTECTION AGENCY Assistance Amendment	GRANT NUMBER (FAIN): 97426110 MODIFICATION NUMBER: F PROGRAM CODE: K1	DATE OF AWARD 05/19/2016
		TYPE OF ACTION Augmentation: Increase	MAILING DATE 05/26/2016
		PAYMENT METHOD: ASAP	ACH# 40083
		RECIPIENT TYPE: State	
RECIPIENT: KY Cabinet for Health and Family Services 275 East Main Street, HS1-CD Frankfort, KY 40621-2321 EIN: 61-0600439		PAYEE: KY Cabinet for Health and Family Services 275 East Main Street, HS1-CD Frankfort, KY 40621-2321	
PROJECT MANAGER Clay Hardwick 275 East Main Street, HS1-CD Frankfort, KY 40621-2321 E-Mail: clay.hardwick@ky.gov Phone: 502-564-4856	EPA PROJECT OFFICER Kristine Johnson 61 Forsyth Street Atlanta, GA 30303-8960 E-Mail: johnson.kristine@epa.gov Phone: 404-562-9071	EPA GRANT SPECIALIST Kenny Richardson Grants and Audit Management Section E-Mail: richardson.kenny@epa.gov Phone: 404-562-9021	
PROJECT TITLE AND EXPLANATION OF CHANGES State Indoor Radon Program This action approves an increase of \$294,194 to Kentucky Cabinet for Health and Family Services to continue developing and implementing the State radon program. More specifically, funding will be used to continue educating the public about the dangers of indoor radon exposure and about specific actions that can be taken to reduce elevated radon levels in homes, schools, and workplaces through a variety of approaches. This action also corrects the Total Approved Assistance Amount to show \$2,411,907 versus \$1,787,937 as reported on previous award.			
BUDGET PERIOD 04/01/2010 - 03/31/2017	PROJECT PERIOD 04/01/2010 - 03/31/2017	TOTAL BUDGET PERIOD COST \$4,449,087.00	TOTAL PROJECT PERIOD COST \$4,449,087.00
NOTICE OF AWARD			
Based on your Application dated 03/17/2010 including all modifications and amendments, the United States acting by and through the US Environmental Protection Agency (EPA) hereby awards \$294,194. EPA agrees to cost-share 54.00% of all approved budget period costs incurred, up to and not exceeding total federal funding of \$2,153,726. Recipient's signature is not required on this agreement. The recipient demonstrates its commitment to carry out this award by either: 1) drawing down funds within 21 days after the EPA award or amendment mailing date; or 2) not filing a notice of disagreement with the award terms and conditions within 21 days after the EPA award or amendment mailing date. If the recipient disagrees with the terms and conditions specified in this award, the authorized representative of the recipient must furnish a notice of disagreement to the EPA Award Official within 21 days after the EPA award or amendment mailing date. In case of disagreement, and until the disagreement is resolved, the recipient should not draw down on the funds provided by this award/amendment, and any costs incurred by the recipient are at its own risk. This agreement is subject to applicable EPA regulatory and statutory provisions, all terms and conditions of this agreement and any attachments.			
ISSUING OFFICE (GRANTS MANAGEMENT OFFICE)		AWARD APPROVAL OFFICE	
ORGANIZATION / ADDRESS 61 Forsyth Street Atlanta, GA 30303-8960		ORGANIZATION / ADDRESS U.S. EPA, Region 4 Air, Pesticides and Toxics Management Division 61 Forsyth Street Atlanta, GA 30303-8960	
THE UNITED STATES OF AMERICA BY THE U.S. ENVIRONMENTAL PROTECTION AGENCY			
Digital signature applied by EPA Award Official Keva R. Lloyd - Grants Management Officer			DATE 05/19/2016

EPA Funding Information

FUNDS	FORMER AWARD	THIS ACTION	AMENDED TOTAL
EPA Amount This Action	\$ 1,859,532	\$ 294,194	\$ 2,153,726
EPA In-Kind Amount	\$ 0	\$	\$ 0
Unexpended Prior Year Balance	\$ 0	\$	\$ 0
Other Federal Funds	\$ 0	\$	\$ 0
Recipient Contribution	\$ 0	\$	\$ 0
State Contribution	\$ 336,698	\$	\$ 336,698
Local Contribution	\$ 523,600	\$	\$ 523,600
Other Contribution	\$ 500,632	\$	\$ 500,632
Allowable Project Cost	\$ 3,220,462	\$ 294,194	\$ 3,514,656

Assistance Program (CFDA)	Statutory Authority	Regulatory Authority
66.032 - State Indoor Radon Program	Indoor Radon Abatement Act: Sec. 306	2 CFR 200 2 CFR 1500 40 CFR 33 and 40 CFR 35 Subpart A

Fiscal									
Site Name	Req No	FY	Approp. Code	Budget Organization	PRC	Object Class	Site/Project	Cost Organization	Obligation / Deobligation
	1604VW6096	16	E1	04V4	102A05	4164			294,194
									294,194

Budget Summary Page

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
1. Personnel	\$543,981
2. Fringe Benefits	\$268,960
3. Travel	\$60,200
4. Equipment	\$0
5. Supplies	\$52,024
6. Contractual	\$978,785
7. Construction	\$0
8. Other	\$2,445,447
9. Total Direct Charges	\$4,349,397
10. Indirect Costs: 21.00% Base Personnel	\$99,690
11. Total (Share: Recipient 46.00 % Federal 54.00 %.)	\$4,449,087
12. Total Approved Assistance Amount	\$2,411,907
13. Program Income	\$0
14. Total EPA Amount Awarded This Action	\$294,194
15. Total EPA Amount Awarded To Date	\$2,153,726

Administrative Conditions

The following conditions have been revised:

General Terms and Conditions

The recipient agrees to comply with the current EPA general terms and conditions available at:
<https://www.epa.gov/grants/epa-general-terms-and-conditions-effective-march-29-2016-or-later>

These terms and conditions are in addition to the assurances and certifications made as part of the award and the terms, conditions or restrictions cited throughout the award.

The EPA repository for the general terms and conditions by year can be found at: <http://www.epa.gov/ogd/tc.htm>.

7. ANNUAL FFR (INTERIM) PURSUANT to 2 CFR 200.327

Pursuant to 2 CFR 200.327, EPA recipients shall submit an interim annual Federal Financial Report (FFR, SF-425) to EPA no later than 90 calendar days following the anniversary of the award date. The form is available on the internet at: <http://www2.epa.gov/financial/forms>.

The following reporting period end dates shall be used for interim annual reports: 3/31, 6/30, 9/30, or 12/31.

At the end of the project, the recipient must submit a final FFR to EPA no later than 90 calendar days after the end of the project period. The form is available on the internet at: <http://www2.epa.gov/financial/forms>. All FFRs must be submitted to the Las Vegas Finance Center (LVFC) via email LVFC-grants@epa.gov or fax at 702-798-2423.

9. UTILIZATION OF SMALL, MINORITY AND WOMEN'S BUSINESS ENTERPRISES (MBE/WBE)

GENERAL COMPLIANCE, 40 CFR, Part 33

The recipient agrees to comply with the requirements of EPA's Disadvantaged Business Enterprise (DBE) Program for procurement activities under assistance agreements, contained in 40 CFR, Part 33.

MBE/WBE REPORTING, 40 CFR, Part 33, Subpart E

MBE/WBE reporting is required in annual reports. Reporting is required for assistance agreements where there are funds budgeted for procuring construction, equipment, services and supplies, including funds budgeted for direct procurement by the recipient or procurement under subawards or loans in the "Other" category that exceed the threshold amount of \$150,000, including amendments and/or modifications.

Based on EPA's review of the planned budget, this award **meets** the conditions above and is subject to the Disadvantaged Business Enterprise (DBE) Program reporting requirements. However, if recipient believes this award does not meet these conditions, it must provide [insert Regional or Headquarters point of contact] with a justification and budget detail within 21 days of the award date clearly demonstrating that, based on the planned budget, this award is not subject to the DBE reporting requirements.

The recipient agrees to complete and submit a "MBE/WBE Utilization Under Federal Grants, Cooperative Agreements and Interagency Agreements" report (EPA Form 5700-52A) on an annual basis. All procurement actions are reportable, not just that portion which exceeds \$150,000.

When completing the annual report, recipients are instructed to check the box titled "annual" in section 1B of the form. For the final report, recipients are instructed to check the box indicated for the "last report" of the project in section 1B of the form. Annual reports are due by October 30th of each year. Final reports are due by October 30th or 90 days after the end of the project period, whichever comes first.

The reporting requirement is based on total procurements. Recipients with expended and/or budgeted funds for procurement are required to report annually whether the planned procurements take place during the reporting period or not. If no budgeted procurements take place during the reporting period, the recipient should check the box in section 5B when completing the form.

MBE/WBE reports should be sent to the Disadvantaged Business Enterprise (DBE) coordinator and Grants Specialist (optional):

MBE/WBE reports should be sent to:

To: R4epagrantsmbewbereporting@epa.gov

Cc: richardson.kenny@epa.gov

The current EPA Form 5700-52A can be found at the EPA Office of Small Business Program's Home Page at http://www.epa.gov/osbp/dbe_reporting.htm

This provision represents an approved deviation from the MBE/WBE reporting requirements as described in 40 CFR, Part 33, Section 33.502; however, the other requirements outlined in 40 CFR Part 33 remain in effect, including the Good Faith Effort requirements as described in 40 CFR Part 33 Subpart C, and Fair Share Objectives negotiation as described in 40 CFR Part 33 Subpart D and explained below.

FAIR SHARE OBJECTIVES, 40 CFR, Part 33, Subpart D

A recipient must negotiate with the appropriate EPA award official, or his/her designee, fair share objectives for MBE and WBE participation in procurement under the financial assistance agreements.

In accordance with 40 CFR, Section 33.411 some recipients may be exempt from the fair share objectives requirements as described in 40 CFR, Part 33, Subpart D. Recipients should work with their DBE coordinator, if they think their organization may qualify for an exemption.

Accepting the Fair Share Objectives/Goals of Another Recipient

The dollar amount of this assistance agreement, or the total dollar amount of all of the recipient's financial assistance agreements in the current federal fiscal year from EPA is \$250,000, or more. The recipient accepts the applicable MBE/WBE fair share objectives/goals negotiated with EPA by the Commonwealth of Kentucky as follows:

Combined MBE/WBE (DBE): Includes Construction, Equipment, Services and Supplies 14.86%

By signing this financial assistance agreement, the recipient is accepting the fair share objectives/goals stated above and attests to the fact that it is purchasing the same or similar construction, supplies, services and equipment, in the same or similar relevant geographic buying market as The Commonwealth of Kentucky.

Negotiating Fair Share Objectives/Goals, 40 CFR, Section 33.404

The recipient has the option to negotiate its own MBE/WBE fair share objectives/goals. If the recipient wishes to negotiate its own MBE/WBE fair share objectives/goals, the recipient agrees to submit proposed MBE/WBE objectives/goals based on an availability analysis, or disparity study, of qualified MBEs and WBEs in their relevant geographic buying market for construction, services, supplies and equipment.

The submission of proposed fair share goals with the supporting analysis or disparity study means that the recipient is **not** accepting the fair share objectives/goals of another recipient. The recipient agrees to submit proposed fair share objectives/goals, together with the supporting availability analysis or disparity study, to the Regional MBE/WBE Coordinator within 120 days of its acceptance of the financial assistance award. EPA will respond to the proposed fair share objective/goals within 30 days of receiving the submission. If proposed fair share objective/goals are not received within the 120 day time frame, the recipient may not expend its EPA funds for procurements until the proposed fair share objective/goals are submitted.

SIX GOOD FAITH EFFORTS, 40 CFR, Part 33, Subpart C

Pursuant to 40 CFR, Section 33.301, the recipient agrees to make the following good faith efforts whenever procuring construction, equipment, services and supplies under an EPA financial assistance agreement, and to require that sub-recipients, loan recipients, and prime contractors also comply. Records documenting compliance with the six good faith efforts shall be retained:

- (a) Ensure DBEs are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities. For Indian Tribal, State and Local and Government recipients, this will include placing DBEs on solicitation lists and soliciting them whenever they are potential sources.
- (b) Make information on forthcoming opportunities available to DBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by DBEs in the competitive process. This includes, whenever possible, posting solicitations for bids or proposals for a minimum of 30 calendar days before the bid or proposal closing date.
- (c) Consider in the contracting process whether firms competing for large contracts could subcontract with DBEs. For Indian Tribal, State and local Government recipients, this will include dividing total requirements when economically feasible into smaller tasks or quantities to permit maximum participation by DBEs in the competitive process.
- (d) Encourage contracting with a consortium of DBEs when a contract is too large for one of these firms to handle individually.
- (e) Use the services and assistance of the SBA and the Minority Business Development Agency of the Department of Commerce.
- (f) If the prime contractor awards subcontracts, require the prime contractor to take the steps in paragraphs (a) through (e) of this section.

CONTRACT ADMINISTRATION PROVISIONS, 40 CFR, Section 33.302

The recipient agrees to comply with the contract administration provisions of 40 CFR, Section 33.302.

BIDDERS LIST, 40 CFR, Section 33.501(b) and (c)

Recipients of a Continuing Environmental Program Grant or other annual reporting grant, agree to create and maintain a bidders list. Recipients of an EPA financial assistance agreement to capitalize a revolving loan fund also agree to require entities receiving identified loans to create and maintain a bidders list if the recipient of the loan is subject to, or chooses to follow, competitive bidding requirements. Please see 40 CFR, Section 33.501 (b) and (c) for specific requirements and exemptions.

All other Administrative Conditions Remain the Same

Programmatic Conditions

The following Programmatic Term and Condition has been added:

Performance Reporting for FY2016 House Report 114-170

Performance Reports:

The recipient shall submit to the EPA Project Officer, a one-time report utilizing the reporting template provided by your Project Officer; also available at <https://www.epa.gov/radon/state-indoor-radon-grant-sirg-program>. In accordance with 2 CFR Part 200.328, the recipient agrees to include this information in the template, and submit as an addendum to their next progress report, and no later than December 30, 2016.

Instituting this reporting requirement is EPA's first step in addressing the SIRG recommendations contained in the House Report (114-170) that accompanied the FY2016 EPA-SIRG appropriation. In accordance with 2 CFR 200.328(2)(d)(1), the recipient agrees to notify EPA of problems, delays, or adverse conditions which materially impairs their ability to satisfy this specific requirement.

All other Programmatic Conditions Remain the Same