

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

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SB

PRINTED: 03/25/2014
FORM APPROVED
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 185470	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____	(X3) DATE SURVEY COMPLETED C 03/11/2014
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NAME OF PROVIDER OR SUPPLIER THE WILLOWS AT HAMBURG	STREET ADDRESS, CITY, STATE, ZIP CODE 2631 OLD ROSEBUD ROAD LEXINGTON, KY 40509
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETION DATE
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F 000 INITIAL COMMENTS

An Abbreviated Survey to investigate KY #00021364 was initiated on 03/10/14 and concluded on 03/11/14. KY #00021364 was substantiated with deficiencies cited at the highest Scope and Severity of a "D".

F 387 SS=D 483.40(c)(1)-(2) FREQUENCY & TIMELINESS OF PHYSICIAN VISIT

The resident must be seen by a physician at least once every 30 days for the first 90 days after admission, and at least once every 60 days thereafter.

A physician visit is considered timely if it occurs not later than 10 days after the date the visit was required.

This REQUIREMENT is not met as evidenced by:
Based on interview and record review it was determined the facility failed to ensure the physician made timely initial visits for three (3) of six (6) sampled residents.

The findings include:

Interview with the Administrator, on 03/11/14 at 6:30 PM, revealed the facility had no policy related to physician services, including the timeliness of physician visits.

Review of the regulatory language at CFR 483.40 Physician Services, F387, revealed the Physician must make actual face-to-face contact with the resident at least once every thirty (30) days for the first ninety (90) days after admission.

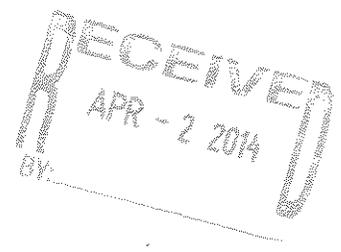
F 000 Plan of Action
The Willows at Hamburg
Abbreviated Survey 3/11/14

Preparation and execution of this plan of correction does not constitute admission or agreement by the provider of the truth of the facts alleged or conclusions set forth in the statement of deficiency. This plan of correction is prepared and executed solely because it is required by federal and state law.

F 387 483.40(c) (1)-(2) Frequency & Timeliness of Physician Visit

The resident must be seen by a physician at least once every 30 days for the first 90 days after admission, and at least once every 60 days thereafter.

A physician visit is considered timely if it occurs not later than 10 days after the date the visit was required.



LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE <i>[Signature]</i>	TITLE <i>Executive Director</i>	(X6) DATE <i>4/2/14</i>
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Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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F 387	<p>Continued From page 1</p> <p>Continued review revealed the Advanced Practice Registered Nurse (APRN) may alternate visits with the Physician after the initial face-to-face Physician visit.</p> <p>1. Review of the medical record revealed the facility admitted Resident #1 on 12/16/13 with diagnoses which included Chronic Obstructive Pulmonary Disease. Continued review revealed no documented evidence the Attending Physician visited the resident until 02/20/14, sixty-six (66) days after admission. A Physician's Progress Note, dated 02/20/14, was signed by the Attending Physician.</p> <p>2. Review of the medical record revealed the facility admitted Resident #2 on 12/20/13 with diagnoses which included Anemia, Urinary Tract Infection, and Transient Ischemic Attack. Continued review revealed no documented evidence the Attending Physician visited until 02/20/13, sixty-two (62) days after admission. A Physician Progress Note, dated 02/20/14, was signed by the Attending Physician.</p> <p>3. Review of the medical record revealed the facility admitted Resident #3 on 01/19/14 with diagnoses which included Diabetes and Hyponatremia. Continued review revealed no documented evidence the Attending Physician visited until 02/20/13, thirty-two (32) days after admission. A Physician Progress Note, dated 02/20/14, was signed by the Attending Physician.</p> <p>Interview with the Director of Nursing Services (DON), on 03/11/15 at 4:50 PM, revealed if a resident was seen by a physician prior to admission to the facility, the APRN was to see the resident within thirty days (30) days of admission.</p>	F 387	<p>Criteria 1: -Resident #1 is not currently in the facility and was discharged on 2/28/14.</p> <p>Criteria 2: -All residents have been audited by the Medical Records Nurse on 3/31/14 to determine if any other residents were affected by the physician visits not within the first 30 days after admission.</p> <p>Criteria 3: -The Executive Director educated all physician providers to The Willows at Hamburg on the regulation of the frequency and timeliness of physician visits, via phone on 3/31/14 and with a follow up email on 4/2/14.</p> <p>Criteria 4: -The Medical Records Nurse, under the supervision of the Executive Director, will conduct monthly audits on all new admissions x 3 months then quarterly thereafter. The audit will include the admission date of the resident and the due date of the first physician visit. A follow-up phone call to the physician by the Executive Director or Director of Health Services will occur if physician documentation has not been completed preceeding to or at 30 days past admission. The Quality Assurance Committee will review all audits and will take follow-up action as deemed by the committee.</p> <p>Criteria 5:</p>	4/10/14	

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F 387	<p>Continued From page 2</p> <p>She stated the facility physician was required to see the resident within sixty (60) days of admission.</p> <p>Interview with the Medical Director (MD), on 03/11/14 at approximately 6:00 PM, revealed if a resident was admitted from a hospital, the facility physician had sixty (60) days to see the resident. He stated the APRN could see the resident during the first thirty (30) days of admission because the resident was seen by the hospital physician prior to being admitted to the facility. He further stated he was knowledgeable about the regulation and followed it.</p> <p>Interview with the Administrator, on 03/11/14 at 6:30 PM, revealed if residents were seen in the hospital by a physician prior to admission to the facility, it counted as the initial visit. She stated the Physician or the APRN could see the resident within thirty (30) days of admission to the facility. Further interview revealed this was the Administrator's understanding of the regulation.</p>	F 387		