

## MDS 3.0 Assessment Submission and Processing (ASAP) System Enhancements

### MDS 3.0 Data Submission Specifications, v1.13.2

- Item and edit changes for assessments with target dates on or after 10/01/2013 are included.
- New ASAP system edits -1063, -1064 and -1065 will apply to all MDS 3.0 records submitted, effective immediately following the downtime.
- Enhancement of ASAP system edit -1018 (MDS 3.0 Record Sequencing) to include the following assessment sequencing scenarios:
  - If the prior MDS 3.0 record is a Discharge Return Not Anticipated record (A0310F = 10), then the next record should be an Entry tracking record (A0310F = 01) with a Type of Entry value (A1700) = 1 (Entry).
  - If the prior record is a Discharge Return Anticipated (A0310F = 11) and the next record is an Entry tracking Record (A0310F = 01) with an Entry Date (A1600) more than 30 days after the prior discharge record's discharge date (A2000), then the Entry tracking record should be an Entry type of record (A1700 = 1).
  - These edits will apply to all MDS 3.0 records submitted, effective immediately following the downtime.
- RUG-III (1.00.5) – Effective 10/01/2013, the ASAP MDS 3.0 system will use the new version of the RUG-III conversion for building the RUG-III MDS 3.0 item string.
- RUG-IV v1.03.0 changes – Effective 10/01/2013, the ASAP MDS 3.0 system will use the new version of the RUG-IV Grouper
  - An FY2013 transition RUG-IV value will be calculated and displayed on the MDS 3.0 Final Validation Report for items Z0100A Medicare Part A HIPPS Code Text and Z0150A Medicare Part A Non-therapy HIPPS Code Text for assessments with a target date between 10/01/2013 and 10/13/2013, inclusive.
  - The standard RUG-IV string will be used, but will include the following changes:
    - The value for non-oral calories in last 7 days (K0710A3) will be copied to the prior item (K0700A) for use by the grouper.
    - The value for non-oral volume in last 7 days (K0710B3) will be copied to the prior item (K0700B) for use by the grouper.
  - The transition RUG will be calculated based on the following parameters:
    - RUG Version = IV
    - Logic Version = 103
    - Model = 66
    - Classification Type = INDEX
    - Urban Set Code = E04
    - Rural Set Code = E03
    - Rehab Parameter = MCAR2

- ASAP system-generated MDS 3.0 Final Validation Report will be enhanced to include the changes listed below
  - All references to Test and Production records will be removed from the report. This coincides with the ASAP system change to process production records only.
    - Header titles will be updated to remove the Production reference
    - Production or Test Indicator field and associated data will be removed
    - Calculation for # of Records in Submission File and # of Records Processed will be updated to remove test records from the counts
    - Passed and Failed statuses previously used to define the processing status of a test record will be removed
  - Submission File Name and associated data will be added to the header
  - Type of Transaction (A0050): Field name will be shortened to A0050, followed by the description of the type of record (NEW RECORD, MODIFICATION, INACTIVATION).
  - Invalid Data Submitted field name will be updated to Item Values
  - Error -1007 (Duplicate Record) will now return the Assessment ID and Submission ID of the existing record on the ASAP database, if available.

## **MDS 3.0 Reporting Enhancements**

### **CASPER Report Revisions**

#### ***User-requested MDS 3.0 NH Final Validation Report***

- All references to Test and Production records will be removed from the report. This coincides with the ASAP system change to process production records only.
  - Header titles will be updated to remove the Production reference
  - Production or Test Indicator field and associated data will be removed
  - Calculation for # of Records in Submission File and # of Records Processed will be updated to remove test records from the counts
  - Passed and Failed statuses previously used to define the processing status of a test record will be removed
- Submission File Name and associated data will be added to the header
- Type of Transaction (A0050): Field name will be shortened to A0050, followed by the description of the type of record (NEW RECORD, MODIFICATION, INACTIVATION).
- Invalid Data Submitted field name will be updated to Item Values
- Error -1007 (Duplicate Record) will now return the Assessment ID and Submission ID of the existing record on the ASAP database, if available.

## **MDS 3.0 Documentation Updates**

The following MDS 3.0 documentation will be updated:

- MDS 3.0 Provider User's Guide: Sections 3, 5 and Appendix A
- CASPER Reporting User's Guides
  - CASPER Reporting User's Guide for MDS Providers – Sections 7, 9 and 10
  - CASPER Reporting User's Guide – Sections 23, 24 and 25