

Commonwealth of Kentucky  
Cabinet for Health and Family Services (CHFS)  
Office of Health Policy (OHP)



**State Innovation Model (SIM) Model Design  
Increased Access Workgroup Kickoff Meeting**

**March 25, 2015  
9 AM – 12 PM**

# Agenda

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- **Welcome and Introductions** 9:00 – 9:10 AM
  - **“As-Is” Increased Access National and Kentucky Landscape** 9:10 – 9:30 AM
  - **National and Kentucky SIM Goals** 9:30 – 9:50 AM
  - **Workgroup Charter** 9:50 – 10:20 AM
  - *Break* 10:20 – 10:30 AM
  - **Driver Diagram Exercise** 10:30 – 11:50 AM
  - **Next Steps and Q&A** 11:50 AM – 12:00 PM
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# **Welcome and Introductions**

**“As-Is” Increased Access  
National and Kentucky  
Landscape**

## State Landscape – Existing State Initiatives

The Medicaid program and multiple health care providers and supporting organizations in Kentucky have made advances in increasing access to care both to underserved populations and in the underserved areas of the state

### Medicaid Expansion

- In May 2013, Gov. Steve Beshear announced the expansion of Medicaid to adults with incomes up to 138% of the Federal Poverty Level (FPL) as part of the Affordable Care Act.
- As a result of this expansion, **more than 300,000 Kentuckians** enrolled in the Medicaid expansion by the end of June 2014, which materially exceeded expectations.
- National data indicates that Kentucky experienced the second largest decrease of any US state in its uninsured rate through 2014, dropping from **22.5% uninsured in 2013 to 11.4% uninsured in 2014**, changing by -11.1 percentage points.

### kynect

- Through Executive Order 587 in July of 2012, Kentucky established Kentucky's Health Benefit Exchange (HBE), or **kynect**.
- Kynect created the infrastructure to accept and store plan information from issuers in preparation for Open Enrollment and subsequently went live in October 2013 to support CHFS and Kentucky residents.
- Today, **more than 500,000 Kentuckians** have obtained affordable health insurance through kynect and this number continues to grow.

### Access to Primary Care Initiative

- The **Foundation for a Healthy Kentucky** is conducting an **Access to Primary Care Initiative** to improve access to Primary Care services in underserved areas of the state and for underserved populations, with the goal of having comprehensive primary care services sustained beyond the initial grant period for this initiative in at least three such areas.
- Through this initiative, the Foundation awarded planning grants to multiple medical centers, behavioral health centers, and local health departments to plan for and implement strategies to increase access to comprehensive primary care services.

## State Landscape – Existing Federal Initiatives

In addition to operating state-based increased access to care initiatives, providers in Kentucky participate in national programs funded through the Centers for Medicare & Medicaid Services (CMS)

### Independence at Home Demonstration

- Kentucky is one of the **15** practice sites operating a Centers for Medicare & Medicaid Innovation (CMMI) Independence at Home Demonstration, a project that works with medical practices to test the effectiveness of delivering comprehensive primary care services at home if doing so improves care for Medicare beneficiaries with multiple chronic conditions.
- [MD2U](#) is a Louisville-based provider organization that offers quality primary health care to the homebound and home limited and provide the most convenient, detailed, and service-oriented medical care, thereby improving the health care delivery system throughout communities nationwide.

## National Landscape – Increased Access to Care

One of the key objectives of Healthy People 2020, a large scale, cross-agency federal initiative that provides 10-year national objectives for improving the health of all Americans, is to improve access to comprehensive, quality health care services.

- The **Access to Care** topic area within Healthy People 2020 focuses on four components of access to care:
  1. Coverage
  2. Services
  3. Timeliness
  4. Workforce
- Limited access to health care impacts people's ability to reach their full potential, negatively affecting their quality of life. Barriers to services include:
  1. Lack of availability
  2. High cost
  3. Lack of insurance coverage
- Healthy People 2020 proposes the following specific issues to be monitored over the next decade:
  1. Increasing and measuring access to appropriate, safe, and effective care, including clinical preventive services.
  2. Decreasing disparities and measuring access to care for diverse populations, including racial and ethnic minorities and older adults.
  3. Increasing and measuring access to safe long-term and palliative care services and access to quality emergency care.



[HealthyPeople.gov](http://HealthyPeople.gov)

# **National and Kentucky SIM Goals**

# CMS Goals for the SIM Program

The CMS State Innovation Model (SIM) initiative is focused on testing the ability of state governments to use regulatory and policy levers to accelerate health transformation

- CMS is providing financial and technical support to states for developing and testing state-led, multi-payer health care payment and service delivery models that will impact all residents of the participating states
- The overall goals of the SIM initiative are to:
  - *Establish public and private collaboration with multi-payer and multi-stakeholder engagement*
  - *Improve population health*
  - *Transform health care payment and delivery systems*
  - *Decrease total per capita health care spending*

Current System	Future System
<ul style="list-style-type: none"> <li>• Uncoordinated, fragmented delivery systems with highly variable quality</li> <li>• Unsupportive of patients and physicians</li> <li>• Unsustainable costs rising at twice the inflation rate</li> </ul>	<ul style="list-style-type: none"> <li>• Affordable</li> <li>• Accessible to care and to information</li> <li>• Seamless and coordinated</li> <li>• High-quality – timely, equitable, and safe</li> <li>• Person- and family-centered</li> <li>• Supportive of clinicians in serving their patient’s needs</li> </ul>

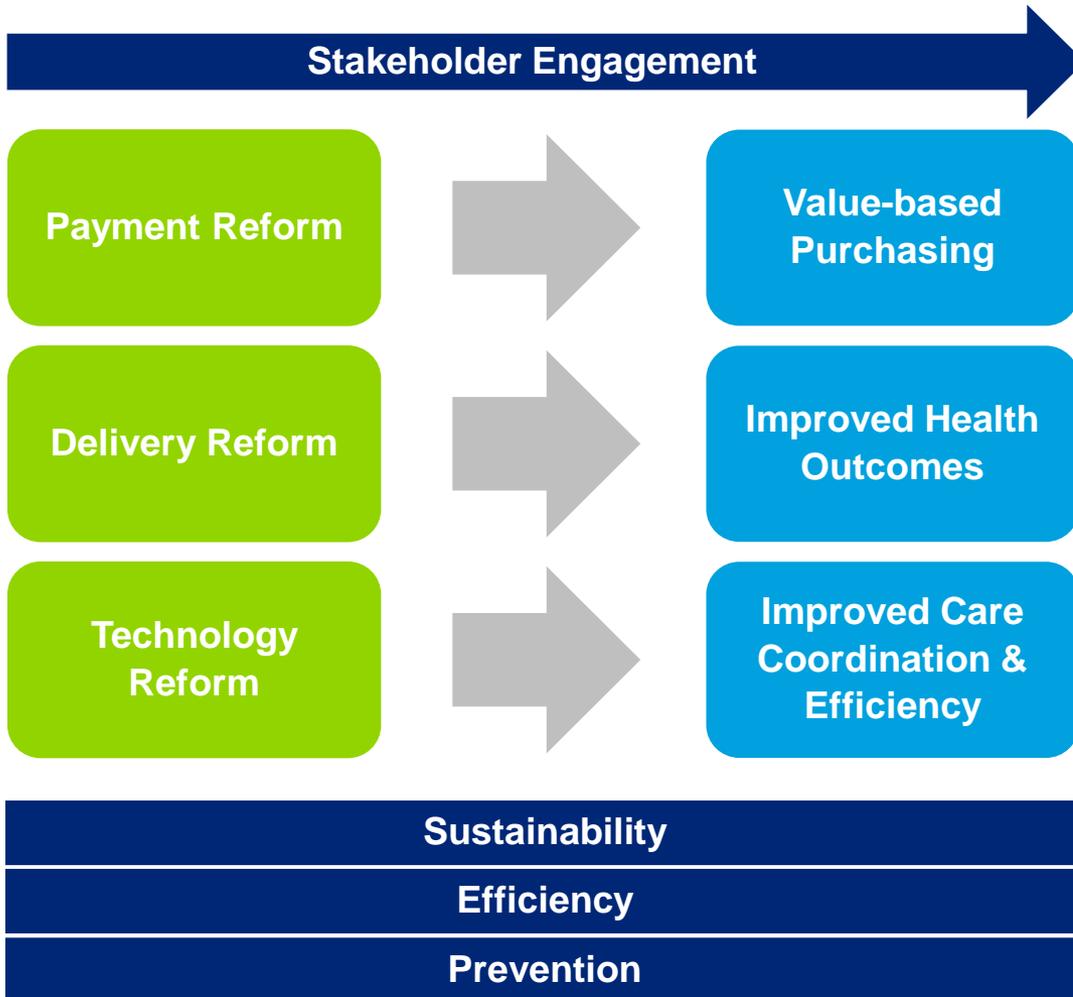
Source: CMS SIM Round Two Funding Opportunity Announcement Webinar

## CMS’ Triple Aim Strategy



# Kentucky's Vision for its SIM Model Design

Kentucky's Model Design will incorporate multiple payers, including Medicaid managed care organizations (MCOs), the Kentucky Employee Health Plan, insurers offering Qualified Health Plans (QHP) through kynect, and Medicare in an effort to achieve health system transformation



## Goal

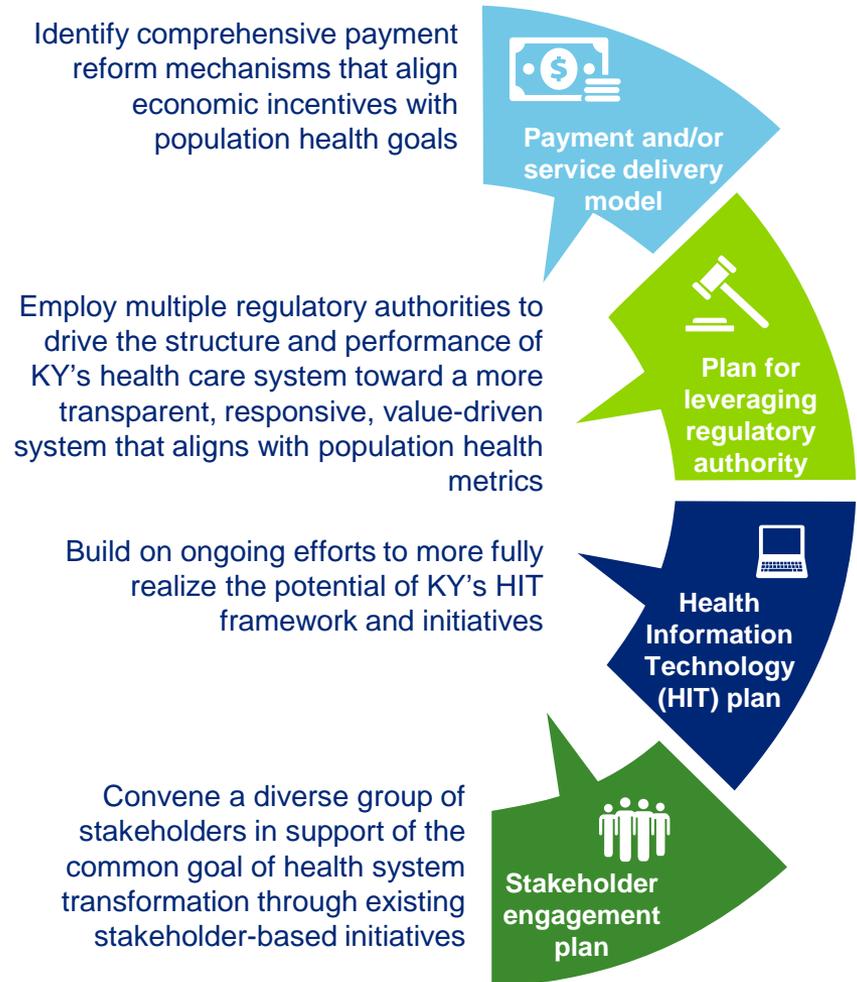
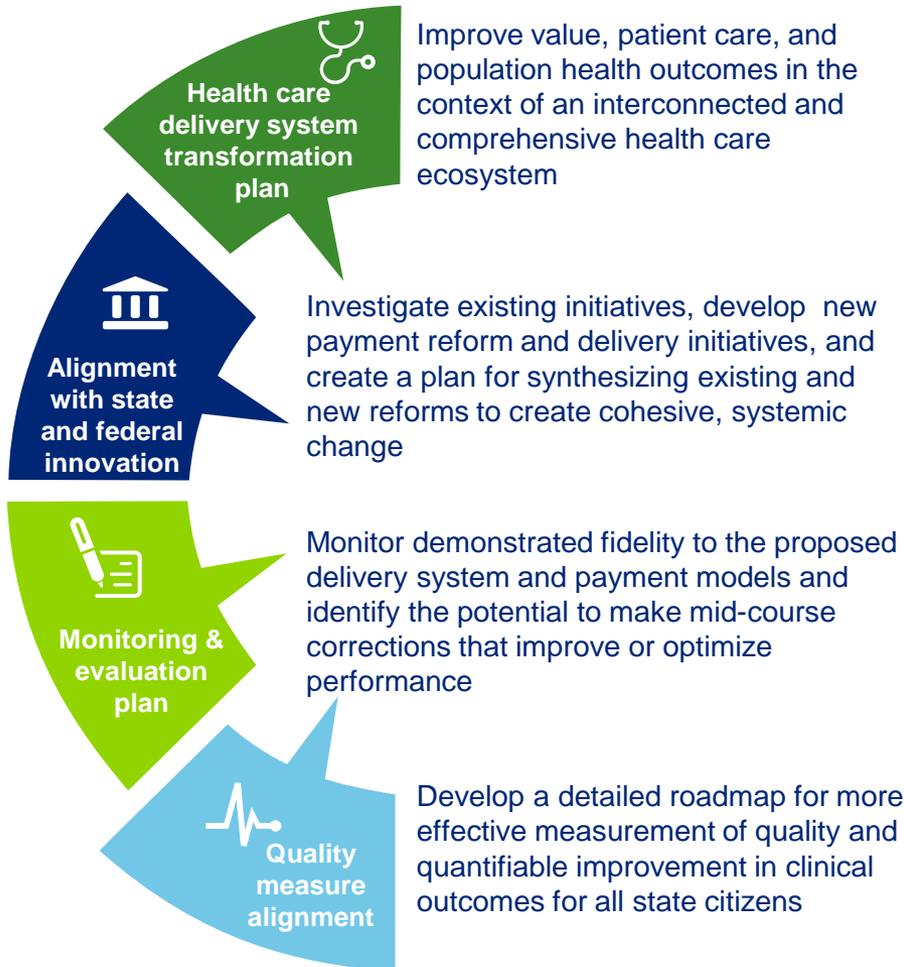
KY Annual Health Care Expenditures	\$28.4 B
CMS Savings Goal	2%
<b>Estimated Savings</b>	<b>\$568 M</b>



*Kentucky's SIM Model Design application established the goal of reducing health care spending by 2% at the end of the four year implementation period.*

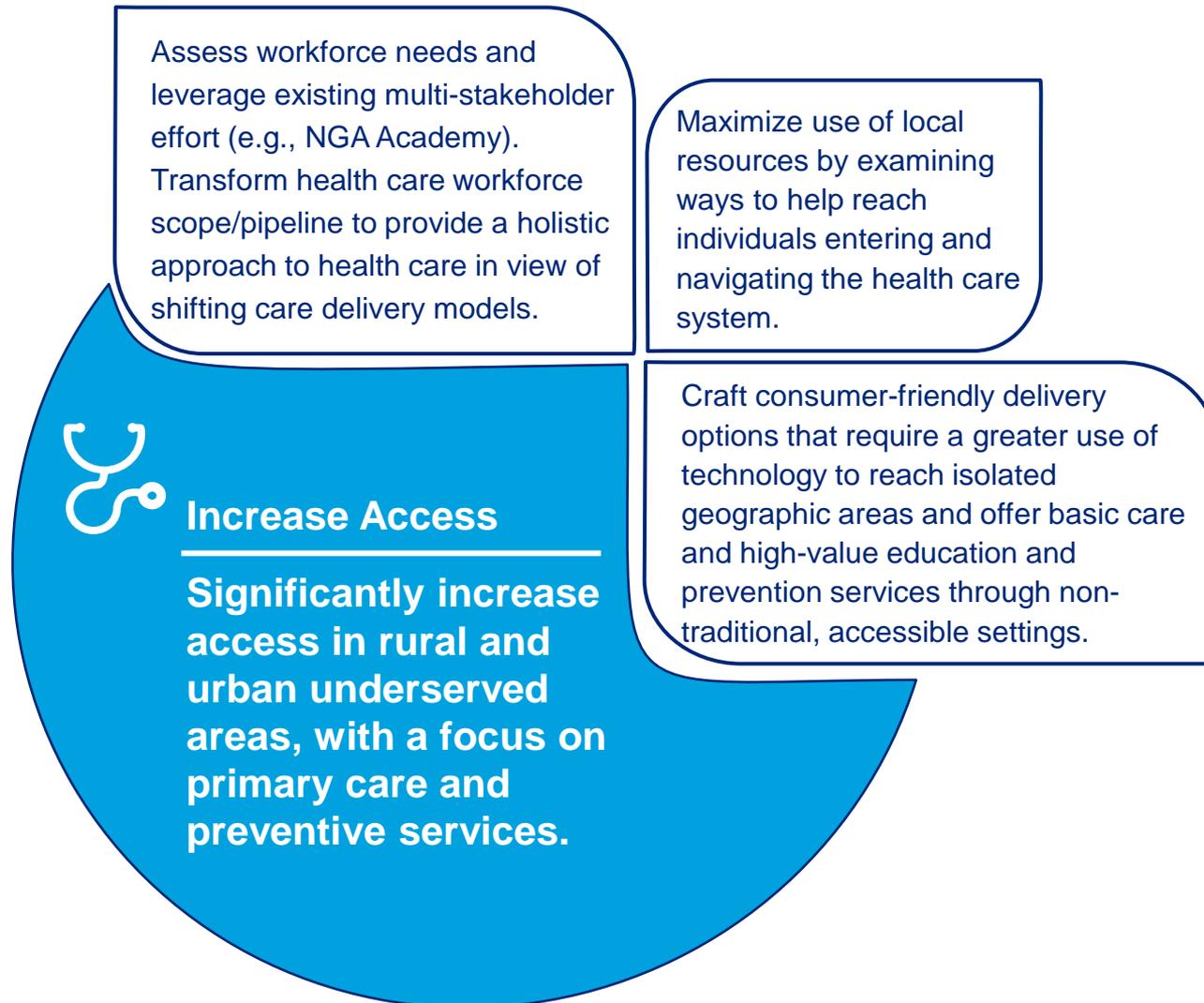
# Kentucky's Vision for the State Health System Innovation Plan

The State Health System Innovation Plan (SHSIP) is the final deliverable of the SIM Model Design period and has a number of components. Kentucky will leverage existing state infrastructure and established programs to meet its goals for each plan component



# Kentucky's Goals for Service Delivery Reform

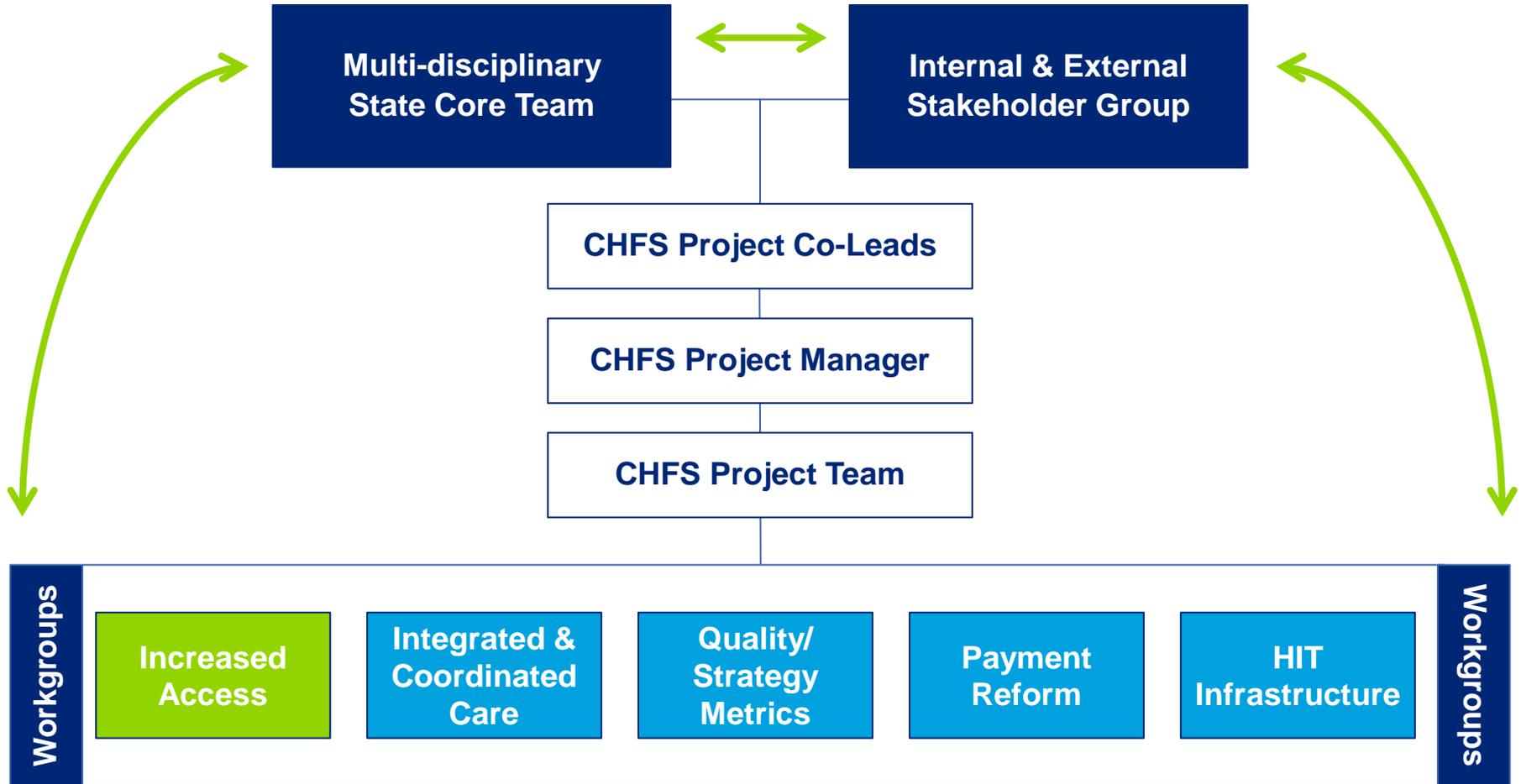
Kentucky has established three primary goals with respect to health care delivery transformation, one of which is focused on increasing access to care throughout the state



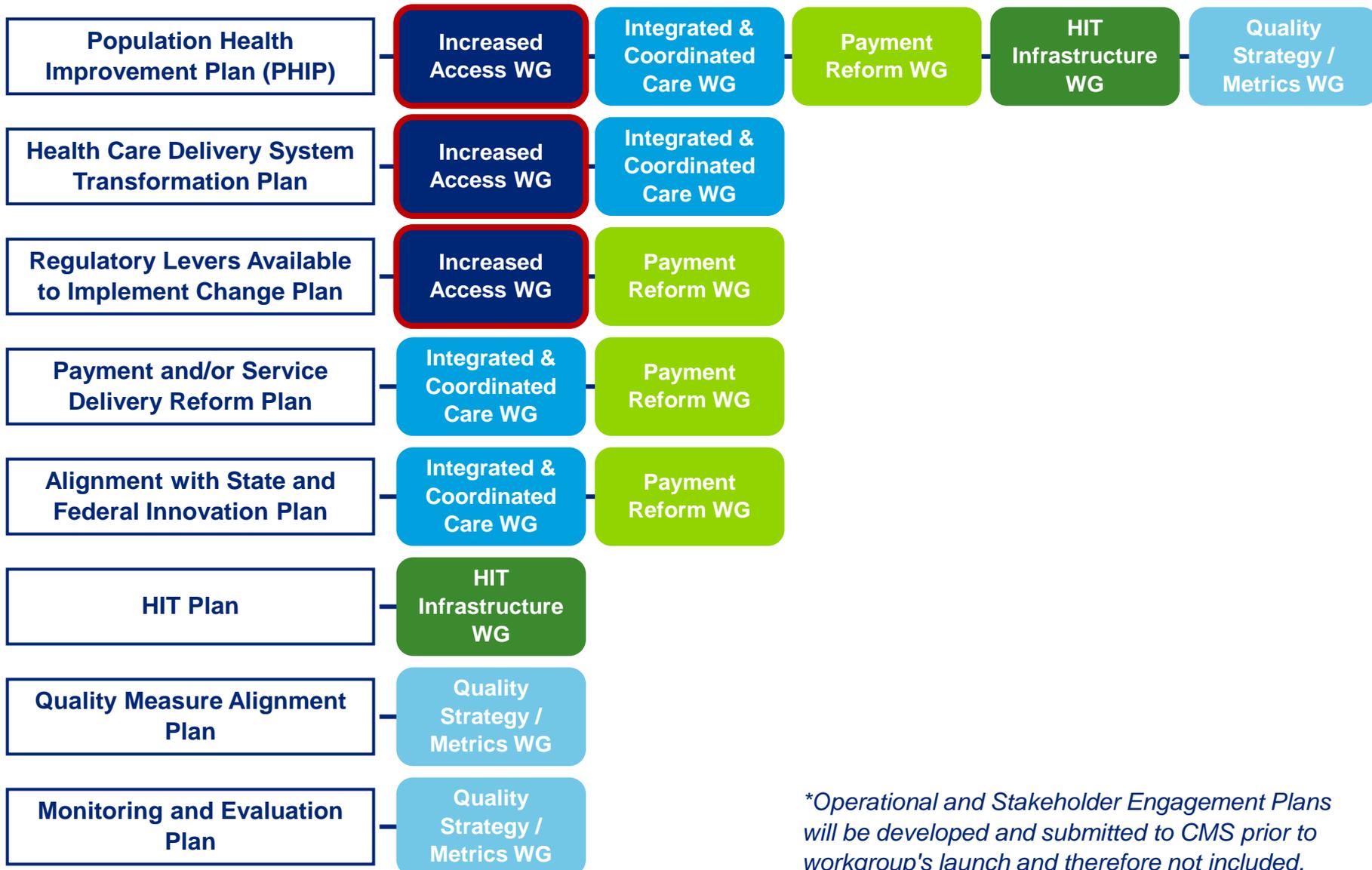
# Workgroup Charter

# Workgroup Process Overview

The workgroup process will rely on consistent input from and two-way communication among a multi-disciplinary state Core Team and internal and external stakeholders to develop, implement, and sustain the SIM initiatives



# Workgroup Alignment with SHSIP Sections

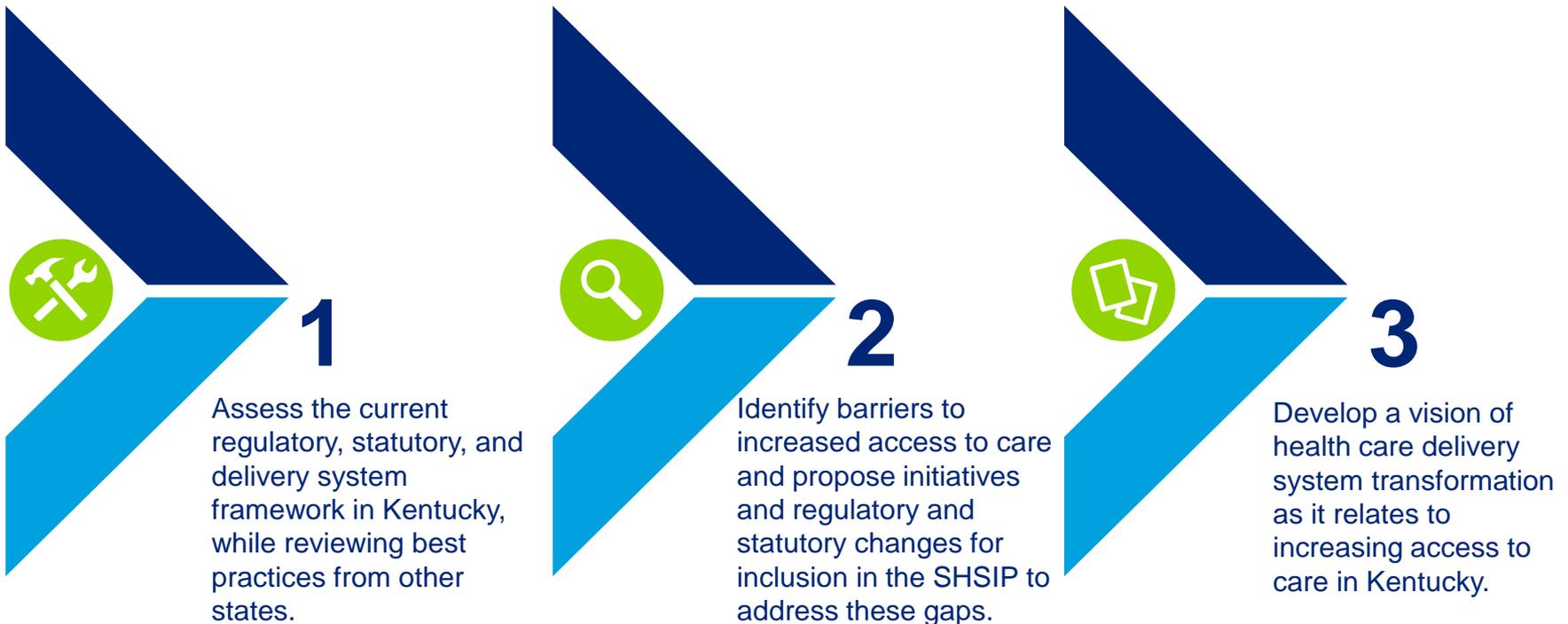


*\*Operational and Stakeholder Engagement Plans will be developed and submitted to CMS prior to workgroup's launch and therefore not included.*

# Workgroup Roles and Responsibilities

The Increased Access Workgroup will take a consensus-based approach to make recommendations for increasing access to care in Kentucky

**The primary role of the Increased Access Workgroup is to establish a vision for health care delivery system transformation through a broad range of initiatives and the use of regulatory and statutory levers to increase access to care.**



# Workgroup Timeline

An organized work plan and adherence to its steps and timeline will be critical in the development of the workgroup’s components of the Model Design

Task	2015									
	Mar.	Apr.	May	Jun.	Jul.	Aug.	Sep.	Oct.	Nov.	
	<b>Workgroup Sessions</b>									
<b>Phase 1: Assess</b> <ul style="list-style-type: none"> <li>Review workgroup charter</li> <li>Conduct as-is review of current initiatives in Kentucky</li> <li>Conduct driver diagram/goal setting exercise</li> <li>Discuss key topics related to increased access:               <ul style="list-style-type: none"> <li>Rural health care</li> <li>Local resource maximization</li> <li>Workforce needs</li> <li>Consumer service and convenience</li> </ul> </li> </ul>										
<b>Phase 2: Design Health Care Delivery System Transformation and Regulatory Plans</b> <ul style="list-style-type: none"> <li>Develop straw person outlines for relevant SHSIP components</li> <li>Reach consensus on Health Care Delivery System Transformation and Regulatory plans</li> </ul>										
<b>Phase 3: Review Health Care Delivery System Transformation and Regulatory Plans</b> <ul style="list-style-type: none"> <li>Review draft Health Care Delivery System Transformation and Regulatory plans</li> <li>Incorporate workgroup feedback into SHSIP components</li> </ul>										★

Health Care Delivery System Transformation Plan Draft Due ★ Final Workgroup Meeting

# Driver Diagram Exercise

# Guidelines for Developing Statewide Increased Access Goals

To facilitate stakeholder-commitment to the changes that SIM will require during future testing, Kentucky is taking a consensus-based approach to developing the goals and objectives for its Model Design.

## Key components of each goal and objective

- Identify what will be improved, by how much, for whom, and by when
- Be bold yet attainable
- Create a focus and sense of urgency amongst providers and payers
- Derive from a data-driven and evidence-based approach
- Support improved health outcomes related to tobacco, obesity, and diabetes

## Key increased access questions to consider

- How do we increase access to services and care coordination in rural areas of the state?
- How can we build consensus and support for initiatives for reforms that require regulatory or statutory changes?
- How do we address the role of consumers in directing and managing the cost of their care?

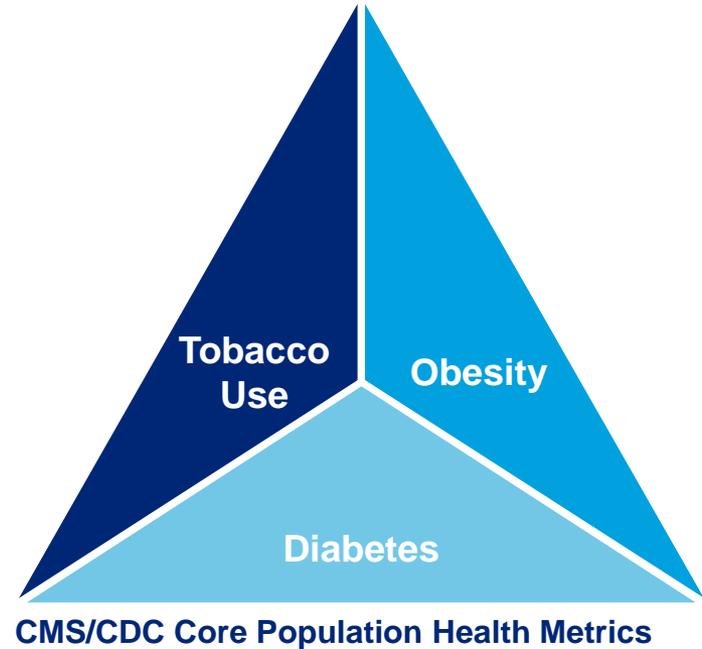


To be successful, the stakeholder-developed goals and objectives for SIM should be consistent with the **SMART** methodology.

# Driver Diagram Process Overview

Using a driver diagram exercise to clearly define a goal and its drivers will provide the workgroup with a shared view of the theory of change to Kentucky’s health care system. This “cause and effect” process will set the stage for defining the “how” elements of the SIM Model Design in alignment with CMS’ key three population health goals.

CMS/CDC Population Health Goals
<ul style="list-style-type: none"> <li>• Reduce the rate of <b>tobacco use</b> statewide</li> <li>• Reduce the incidence of <b>obesity</b> statewide</li> <li>• Reduce the incidence of <b>diabetes</b> statewide</li> </ul>



## Current Drivers

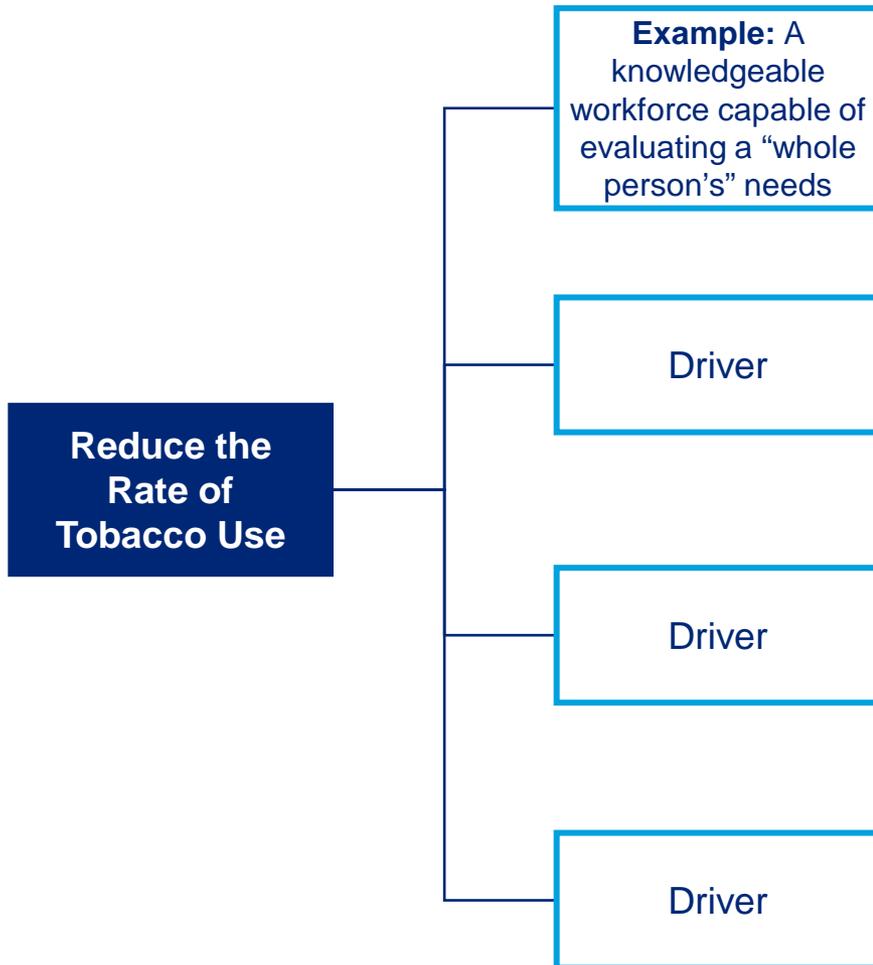
- What are the current drivers of high tobacco use, obesity rates, and diabetes incidences in Kentucky?

## SIM Initiatives

- What initiatives can this workgroup develop that would positively impact those drivers from an increased access to care perspective?

# Goal Setting Exercise – Tobacco Use

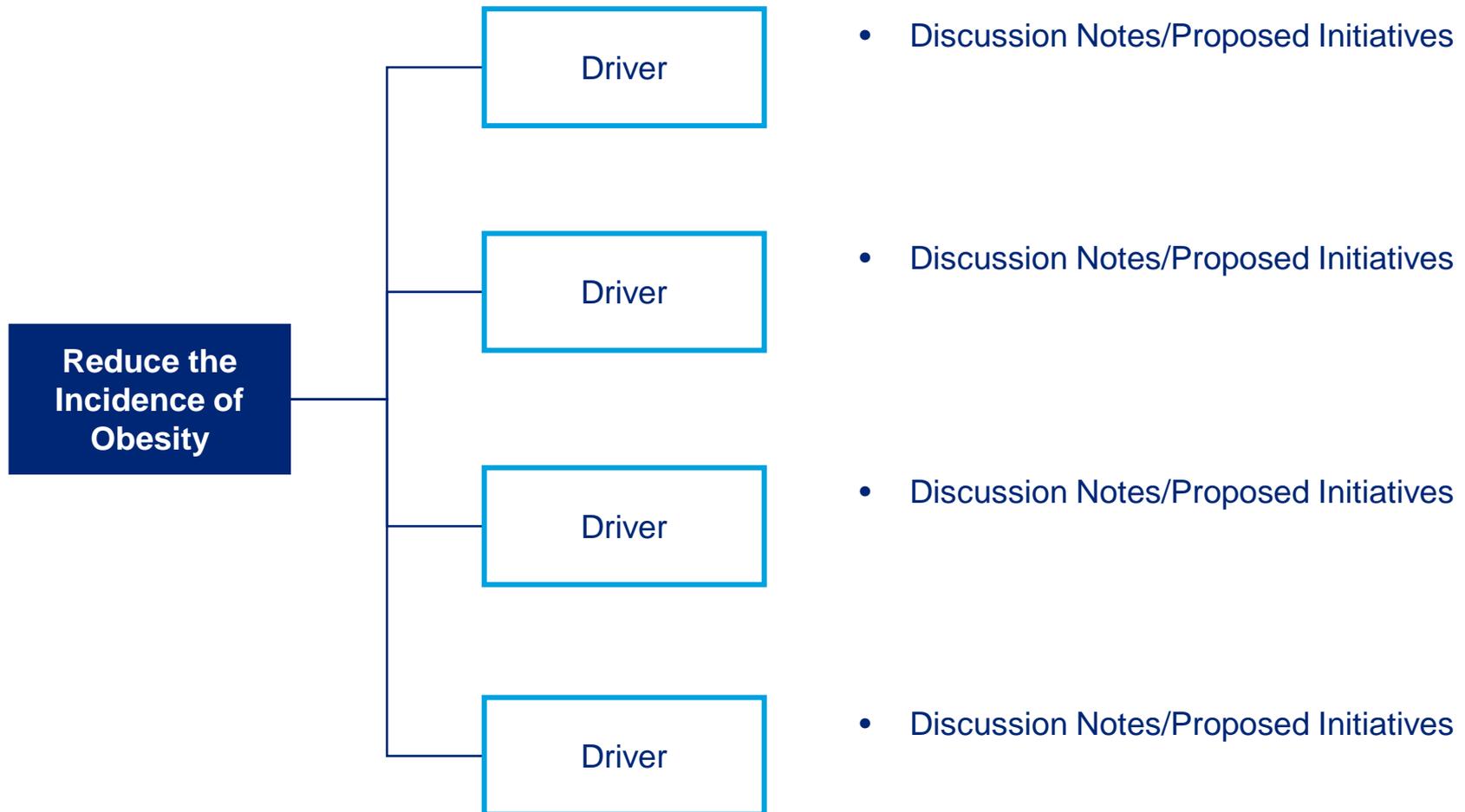
What are the current barriers to reducing tobacco use in Kentucky? What would be the key drivers to reducing those barriers? What initiatives could support those drivers from an increased access perspective?



- **Example:** Allocate funding via an application process to independent providers and/or provider organizations for tobacco cessation staff training programs in addition to current CPE courses
- Discussion Notes/Proposed Initiatives
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- Discussion Notes/Proposed Initiatives

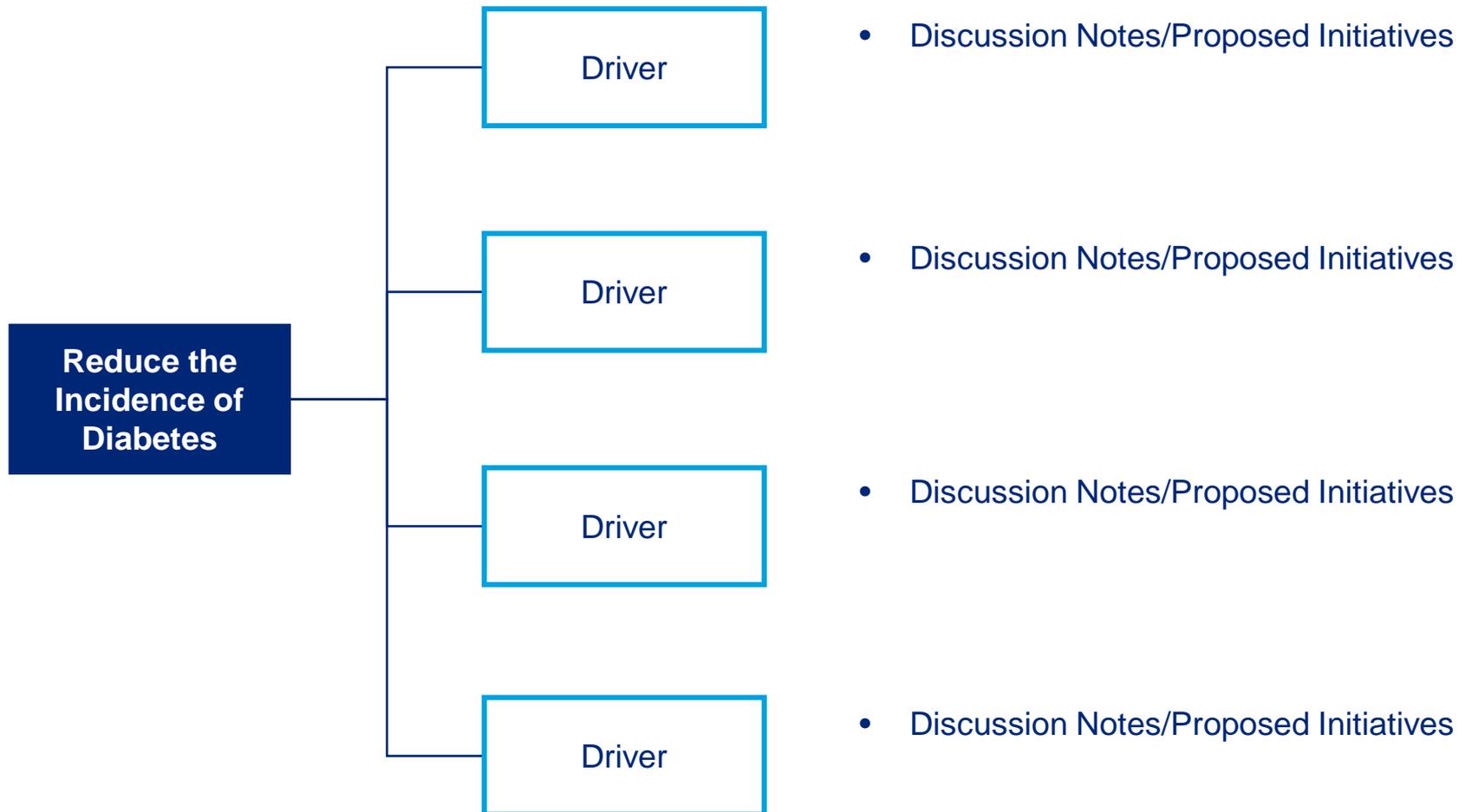
# Goal Setting Exercise – Obesity

What are the current barriers to reducing the incidence of obesity in Kentucky? What would be the key drivers to reducing those barriers? What initiatives could support those drivers from an increased access perspective?



# Goal Setting Exercise – Diabetes

What are the current barriers to reducing the incidence of diabetes in Kentucky? What would be the key drivers to reducing those barriers? What initiatives could support those drivers from an increased access perspective?



**Next Steps**

# Upcoming Schedule

A monthly workgroup meeting will be essential for discussing key topics, reaching consensus, and driving the development of a successful Health Care Delivery System Transformation Plan. The exact meeting dates, times, and locations for the workgroups will be communicated in advance of each session.

## April 2015

M	T	W	T	F
		1	2	3
6	7	8	9	10
13	14	15	16	17
20	21	22	23	24
27	28	29	30	

## May 2015

M	T	W	T	F
				1
4	5	6	7	8
11	12	13	14	15
18	19	20	21	22
25	26	27	28	29

## June 2015

M	T	W	T	F
1	2	3	4	5
8	9	10	11	12
15	16	17	18	19
22	23	24	25	26
29	30			

### Calendar Legend

**Workgroup Meeting**

**Stakeholder Meeting**

## Next Steps

- As a reminder, the next full stakeholder meeting is scheduled for **Thursday, April 2, 2015** from **1 – 4 PM** at the **Administrative Office of the Courts**, Main Conference Room, 1001 Vandalay Drive, Frankfort, KY 40601
- Mark your calendars! The next two rounds of the Increased Access workgroup will be held on **April 15, 2015** and on **May 20, 2015**.

Workgroup	April Date	April Time	May Date	April Time	
<b>Payment Reform</b>	Tuesday, April 14, 2015	9AM to 12PM	Tuesday, May 19, 2015	9AM to 12PM	TBA – Frankfort, KY <i>*Please see website</i>
<b>Integrated &amp; Coordinated Care</b>	Tuesday, April 14, 2015	1PM to 4PM	Tuesday, May 19, 2015	1PM to 4PM	TBA – Frankfort, KY <i>*Please see website</i>
<b>Increased Access</b>	Wednesday, April 15, 2015	9AM to 12PM	Wednesday, May 20, 2015	9AM to 12PM	TBA – Frankfort, KY <i>*Please see website</i>
<b>Quality Strategy / Metrics</b>	Wednesday, April 15, 2015	1PM to 4PM	Wednesday, May 20, 2015	1PM to 4PM	TBA – Frankfort, KY <i>*Please see website</i>
<b>HIT Infrastructure</b>	Thursday, April 16, 2015	9:30AM to 12:30PM	Thursday, May 21, 2015	9:30AM to 12:30PM	TBA – Frankfort, KY <i>*Please see website</i>

- Please visit the dedicated Kentucky SIM Model Design website: <http://chfs.ky.gov/ohp/sim/simhome>
  - This website contains an Increased Access workgroup section that will contain meeting presentations, outputs, and additional resources
- Please contact the KY SIM mailbox at [sim@ky.gov](mailto:sim@ky.gov) with any comments or questions

**Thank you!**

**Q&A**