



**COOPERATIVE AGREEMENTS**

Department of Health and Human Services  
Centers for Disease Control and Prevention

**COORDINATING OFFICE FOR TERRORISM PREPAREDNESS AND EMERGENCY RESPONSE**

Notice of Award

**Issue Date:** 02/24/2015



**Grant Number:** 5U90TP000563-03 REVISED

**FAIN:** U90TP000563

**Principal Investigator(s):**

KRAIG E HUMBAUGH, MD

**Project Title:** TP12-1201 HPP AND PHEP COOPERATIVE AGREEMENTS

PATRICK RUPINEN, BUDGET ANALYST  
DEPARTMENT OF PUBLIC HEALTH  
KENTUCKY CABINET FOR HEALTH AND FAMILY SERVICES  
275 EAST MAIN STREET  
FRANKFORT, KY 40621

**Award e-mailed to:** Tony.Adkins@ky.gov

**Budget Period:** 07/01/2014 – 06/30/2015

**Project Period:** 07/01/2012 – 06/30/2017

Dear Business Official:

The Centers for Disease Control and Prevention hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to KENTUCKY ST CABINET FOR HEALTH & FAMILY SERVICES in support of the above referenced project. This award is pursuant to the authority of SEC391(A)317(K)OFPHS42U.S.C.SEC241A 247B and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Shicann Phillips  
Grants Management Officer  
Centers for Disease Control and Prevention

Additional information follows

**SECTION I – AWARD DATA – 5U90TP000563-03 REVISED**

**Award Calculation (U.S. Dollars)**

Salaries and Wages	\$2,295,782
Fringe Benefits	\$1,494,280
Personnel Costs (Subtotal)	\$3,790,062
Equipment	\$20,300
Supplies	\$71,668
Travel Costs	\$190,417
Consortium/Contractual Cost	\$7,267,385
Other	\$465,810

Federal Direct Costs	\$11,805,642
Federal F&A Costs	\$473,576
Approved Budget	\$13,527,423
Federal Share	\$12,279,218
Non-Federal Share	\$1,248,205
Less Unobligated Balance	\$1,107,349
<b>TOTAL FEDERAL AWARD AMOUNT</b>	<b>\$11,171,869</b>

**AMOUNT OF THIS ACTION (FEDERAL SHARE) \$0**

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

04 \$13,448,820

**Fiscal Information:**

CFDA Number: 93.074  
 EIN: 1610600439B5  
 Document Number: 000563TP14

IC	CAN	2014	2015
TP	921027R	\$378,859	
TP	9213367	\$7,919,475	
TP	939ZVNM	\$2,873,535	\$13,448,820

SUMMARY TOTAL FEDERAL AWARD AMOUNT YEAR ( 3 )		
GRANT NUMBER	TOTAL FEDERAL AWARD AMOUNT	
5U90TP000563-03	\$11,171,869	
3U90TP000563-03S1	\$55,616	
<b>TOTAL</b>	<b>\$11,227,485</b>	
SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
3	\$11,171,869	\$11,227,485
4	\$13,448,820	\$13,448,820

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

**CDC Administrative Data:**

PCC: N / OC: 4151 / Processed: ERAAPPS 02/24/2015

**SECTION II – PAYMENT/HOTLINE INFORMATION – 5U90TP000563-03 REVISED**

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse

under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

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**SECTION III – TERMS AND CONDITIONS – 5U90TP000563-03 REVISED**

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

This award has been assigned the Federal Award Identification Number (FAIN) U90TP000563. Recipients must document the assigned FAIN on each consortium/subaward issued under this award.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

Coordinating Office For Terrorism Preparedness And Emergency Response (CTPER)

**Treatment of Program Income:**  
Additional Costs

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**SECTION IV – TP Special Terms and Conditions – 5U90TP000563-03 REVISED**

**FUNDING OPPORTUNITY ANNOUNCEMENT (FOA) NUMBER: TP12-1201**  
**AWARD NUMBER: 5 U90 TP000563-03 (Revision 2)**  
**AWARD TYPE: Cooperative Agreement**  
**APPLICABLE COST PRINCIPLES: 2 CFR Part 225 Cost Principles for State, Local, and Indian Tribal Governments (OMB Circular A-87)**

**AWARD INFORMATION**

**NOTE 1 PHEP and HPP Carryover:** The purpose of this amended Notice of Award is to provide carryover of PHEP unobligated funds of \$277,473 and HPP unobligated funds of \$287,486 from budget period Year 2 to budget Year 3, as requested in your letter dated January 16, 2015 and the FFR dated January 16, 2015. The amount of the carryover will be subject to reduction if the actual unobligated balance is less.

**NOTE 2:** These funds have been approved by the cost categories as follows:

**Contractual:** \$564,959

**NOTE 2.b.:** To ensure the timely use of unobligated balances, recipients must use the first-in, first-out principle for recognizing and recording obligations and expenditures of those funds.

Approved PHEP carryover funds must be drawdown from PMS subaccount **000563BT12P** and approved HPP carryover funds must be drawdown from PMS subaccount **000563BT12P**.

**NOTE 3:** These funds are approved for the current fiscal year budget period only and will be available for obligation through June 30, 2015.

**NOTE 4:** Please be advised that grantee must exercise proper stewardship over Federal funds by ensuring that all costs charged to their cooperative agreement are allowable, allocable, and reasonable.

**NOTE 5:** State Matching PHEP Funds Requirement: To maintain this year's \$1:\$10 non-Federal match required by Public Law 109-417, the level of non-Federal contributions reported for this carryover is HPP \$28,748 and PHEP \$27,747. This amount is the required ratio of cost. This amount is incorporated in the budget on Section 1, Award Data of this Notice of Award.

**All other terms and conditions issued with the original award remain in effect throughout the budget period unless otherwise changed, in writing, by the Grants Management Officer (GMO).**

**PLEASE REFERENCE YOUR FOA AND AWARD NUMBER ON ALL CORRESPONDENCE**

**Programmatic Contact:**  
Lucia Marks, Project Officer  
Centers for Disease Control  
Public Health Emergency Preparedness Program  
Telephone: 404-639-5024  
Email: [BJY8@CDC.GOV](mailto:BJY8@CDC.GOV)

**Programmatic Contact:**  
Captain Paul Link, Project Officer  
ASPR  
Hospital Preparedness Program  
Telephone: 404-989-4922  
Email: [Paul.Link@HHS.GOV](mailto:Paul.Link@HHS.GOV)

**STAFF CONTACTS**

**Grants Management Specialist:** Shicann Phillips  
Center for Disease Control and Prevention  
ONDIEH  
1825 Century Center Blvd MS E-85  
Atlanta, GA 30345  
Email: [IBQ7@CDC.GOV](mailto:IBQ7@CDC.GOV) Phone: 404.498.3013

**Grants Management Officer:** Shicann Phillips  
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Atlanta, GA 30345  
Email: [IBQ7@CDC.GOV](mailto:IBQ7@CDC.GOV) Phone: 404.498.3013

**SPREADSHEET SUMMARY**

**GRANT NUMBER:** 5U90TP000563-03 REVISED

**INSTITUTION:** KY ST CABINET/HEALTH/FAMILY SERVICES

Budget	Year 3	Year 4
Salaries and Wages	\$2,295,782	
Fringe Benefits	\$1,494,280	

Personnel Costs (Subtotal)	\$3,790,062	
Equipment	\$20,300	
Supplies	\$71,668	
Travel Costs	\$190,417	
Consortium/Contractual Cost	\$7,267,385	
Other	\$465,810	\$13,448,820
TOTAL FEDERAL DC	\$11,805,642	\$13,448,820
TOTAL FEDERAL F&A	\$473,576	
TOTAL COST	\$11,171,869	\$13,448,820

