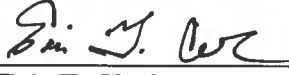


## BLOCK GRANT PROGRAM STATUS REPORT

January 1, 2018 through June 20, 2018

Department: Community Based Services (DCBS)      Block Grant: Child Care and Development Fund (CCDF)

This report is submitted in compliance with KRS 45.357. This report on achievements may be compared to the Block Grant application currently on file with LRC.

Block Grant Manager:       8-2-18  
 Eric T. Clark      Date  
 Commissioner

1. FINANCES	Federal Funds	General Funds	Trust/Local Match	Total
Annual Budget -	\$98,913,700	\$34,967,844	\$72,800	\$133,954,344
Actual Expenditures	\$41,395,463	\$18,086,423	\$8,265	\$64,973,484
Encumbrances	\$0	\$0	\$0	\$0
Available Balance	\$1,575,904	\$0	\$64,535	\$1,640,439

### 2. RESULTS BUDGETED/ACHIEVED

OBJECTIVES	ACHIEVEMENTS
1. To provide child care services to children who reside with a low income parent(s) who works, seeks work, attends a job training or educational program; or receives, or needs to receive, protective services, which may include preventive services.	An average of 30,412 children per month received child care through CCDF during the second half of this State Fiscal Year (SFY) 2018.  Of this total, an average of 26,332 children were served to allow low income parents to work, 5,033 children were served through prevention or protection services, 271 were teen parents. Some families received assistance through the Child Care Assistance Program (CCAP) to address more than one service need.
2. To provide access to quality child care that supports the parent participating in the Kentucky Transitional Assistance Program (KTAP).	An average of 3,336 children per month with parents participating in Kentucky Transitional Assistance Program (K-TAP) and the Kentucky Works Program received child care assistance through funding from the federal Temporary Assistance for Needy Families (TANF) Block Grant

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OBJECTIVES	ACHIEVEMENTS
<p>3. To provide consumer education and promote parental choice to empower working parents to make their own decisions on the child care that best suits their family's needs.</p>	<p>During the second half of SFY 2018, approximately 3,835 consumer education materials were disseminated to help parents make informed choices when choosing child care.</p> <p>Families seeking child care may choose either a licensed, certified, or registered provider utilizing one the available options below:</p> <ol style="list-style-type: none"> <li>1) Parents may utilize the toll-free phone number “Find Kentucky Kid Care” to locate quality child care in Kentucky. The toll-free number links the parent to a centralized statewide referral call center that refers the parent to child care providers within their area. The DCBS Division of Child Care (DCC) administers the toll-free line. There were approximately 290 referral calls received during the second half of SFY 2018.</li> <li>2) Effective October 1, 2017, parents may search for providers by address, provider name or License/Certificate number by accessing the benefind (public search) website: <a href="https://benefind.ky.gov/kccps">https://benefind.ky.gov/kccps</a></li> </ol>
<p>4. To increase the availability of early childhood development and/or before- and after- school care programs.</p>	<p>An average of 8,445 children is receive before- and after-school child care services per month.</p>
<p>5. To increase the availability of high quality child care through statewide services, including pre-application consultation, routine visits, technical assistance, and quality rating visits under the STARS for KIDS NOW Program.</p>	<p>On April 13, 2018, the Division of Child Care filed 922 KAR 2:270 as an emergency regulation to adopt Kentucky All STARS as the new five tiered quality rating and improvement system for all Licensed Type I and II child care facilities and Certified Family Child Care Homes. This regulation repeals STARS for KIDS NOW, the former quality rating and improvement system for Kentucky.</p> <p>As of June 30, 2018, the total number of participants in Kentucky All STARS Program are as follows:</p> <p>794 All STARS Level 1 centers and family child care homes            315 All STARS Level 2 centers and family child care homes            399 All STARS Level 3 centers and family child care homes            381 All STARS Level 4 centers and family child care homes            233 All STARS Level 5 centers and family child care homes</p> <p>The Kentucky All STARS Rating staff conducted 174 environmental rating scales of child care programs between April and June of 2018. After each rating visit, providers are given a rating summary report, which is used for program improvement and consultation with technical staff.</p>

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OBJECTIVES	ACHIEVEMENTS								
<p>6. To expand the availability of new and existing resources targeted at quality improvement by contracting for the provision of these services.</p>	<p>DCBS contracts with the University of Kentucky-Human Development Institute to increase the quality and quantity of accessible, affordable, developmentally appropriate early and school age care. STARS Quality Coordinators visit child care providers to give technical assistance and complete Environmental Rating Scales (ERS) for providers seeking a STARS Level 3, 4, or 5 and to assist providers with a self-assessment for a STARS Level 2. A total of 2,633 technical assistance visits was completed. During technical assistance visits, child care providers work on improvement plans to increase the quality of their services.</p> <p>Professional Development Coaches work with child care staff to develop enhanced professional development plans and connect providers to available educational and professional resources. Fifty-three professional development plans were completed between January and June of 2018.</p>								
<p>7. To ensure regional professional development opportunities through training and technical assistance using a statewide coordination of services.</p>	<p>The Child Care Aware of Kentucky network consists of eight Training Coaches who ensure access to regional professional development opportunities by identifying training needs and trainer recruitment.</p> <p>The Kentucky Early Care and Education Trainer's Credential is required of all instructors who provide child care training to caregivers in the process of pursuing professional development goals or completing the annual training requirement established by state regulation. As of June 30, 2018, there were 669 individuals holding a current Kentucky Trainer's Credential.</p> <p>The Early Care and Education Training Records Information System (ECE-TRIS) stores the training records for child care providers. During the second half of SFY 2018, there were 114 registered providers, 568 certified providers, and 59,502 licensed providers and staff who had completed training sessions.</p>								
<p>8. To ensure health and safety standards for children in care through licensed and certified child care programs. Make available flexible care options with the registered provider program.</p>	<p>DCBS contracts with the Office of Inspector General to enforce standards for licensed and certified providers. As of June 30, 2018, there were 2,239 certified, licensed and registered child care programs for 34 dedicated child care licensing surveyors. The average ratio of surveyors to regulated child care programs is one to 63.5.</p> <p>The total number of licensed, certified, and registered providers as of June 30, 2018 was:</p> <table border="1" data-bbox="893 1680 1169 1816"> <tr> <td>Licensed</td> <td>1,902</td> </tr> <tr> <td>Certified</td> <td>257</td> </tr> <tr> <td>Registered</td> <td>80</td> </tr> <tr> <td><b>Total</b></td> <td><b>2,239</b></td> </tr> </table>	Licensed	1,902	Certified	257	Registered	80	<b>Total</b>	<b>2,239</b>
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### **3. AUTHORIZED CHANGES (from the BLOCK GRANT PLAN IN FINANCES AND/OR OBJECTIVES)**

On November 19, 2014, the Child Care and Development Block Grant (CCDBG) Act of 2014 was signed into law. This reauthorizes the child care program for the first time since 1996 and represents an historic re-envisioning of the Child Care and Development Fund (CCDF) program. The new law makes significant advancements by defining health and safety requirements for child care providers, outlining family-friendly eligibility policies, and ensuring parents and the general public have transparent information about the child care choices available to them.

The Child Care and Development Fund (CCDF) three-year plan for States and Territories is required from each CCDF Lead agency in accordance with 42 U.S.C. 9858c. The Office of Child Care (OCC), Administration for Children and Families (ACF), U.S. Department of Health and Human Services (HHS) extended the state and territory deadline for the submission of the state plan from July 1, 2018, to August 31, 2018. The Office of Child Care announced the ACF-118 electronic submission site would be available to accept state/territories 2019-2021 CCDF Plan submissions on August 17, 2018.

DCBS filed the preliminary FY2019-2021 CCDF State Plan with Legislative Research Commission on April 2, 2018, with a legislative hearing on the plan held on June 20, 2018.

### **4. EVALUATION OF RESULTS**

CCAP promotes, expands, and improves the quality of care for children in Kentucky and ensure that families most in need are aware of and have access to quality child care. The approach to service delivery combines the efforts of the DCBS staff and other service providers and partners to address a family's needs in a comprehensive fashion thereby maximizing the likelihood that a family will achieve positive outcomes. DCBS serves Kentucky's most vulnerable children prioritizing children with special needs and children receiving protective services. TANF recipients and persons transitioning off TANF are also eligible for child care assistance. During the second half of SFY 2018, the average number of families served in CCAP was 16,342.

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DCBS contracts with the Cabinet's Office of Inspector General (OIG) to inspect, monitor, and license center-based providers, and inspect, monitor, and certify family child care home providers and conduct home checklist of registered providers.

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922 KAR 2:270&E were filed on April 13, 2018 to adopt Kentucky All STARS as the new Tiered Quality Rating Improvement System (TQRIS) for child care in the state. Simultaneously, DCBS repealed the administrative regulation governing the STARS for KIDS NOW Program, the prior state TQRIS. Public comments for the new regulation closed May 30, 2018. No public comments were submitted. The administrative regulations were adopted July 18, 2018.

Kentucky All STARS is the state expanded five-star quality-rating and improvement system for early care and education programs. Studies show that children who attend high quality early learning environments have better math, language, and social skills. The unified system serves all early care and education programs, including child care centers, Head Starts, and public preschool. Evaluation and analysis continue to inform sustainability planning, identify successes, lessons learned, and challenges of the Kentucky All STAR Quality Rating System across the Commonwealth.

Kentucky All STARS Quality Rating System is establish building upon Kentucky's Early Childhood Standards and research-based indicators of quality. It recognizes programs that have made a commitment to continuous quality improvement. On the path toward higher quality, programs can benefit from supports, including training, technical assistance, and coaching.

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Additionally, targeted quality set-asides under CCDF are being utilized to promote and improve the quality of infant/toddler training opportunities, enhance professional development activities, improve education in child care programs, improve staff and child interactions, increase parental involvement, and facilitate regulatory compliance to all child care programs.

Having surpassed the goal of 45% of all licensed early care and education providers in Kentucky rated at high quality, DCBS continues to support quality improvement through provider training and engagement. As of June 30, 2018, 1,940 programs (90.4% of all programs) have verified ratings in Kentucky All STARS, with 47.4% of programs as rated high quality.

<b>Kentucky All STARS Ratings as of June 30, 2018</b>					
	Level 1	Level 2	Level 3	Level 4	Level 5
Licensed Types I&II	652	259	350	319	103
Certified	131	55	33	25	9
Licensed Head Start	11	1	16	37	121
<b>Totals</b>	<b>794</b>	<b>315</b>	<b>399</b>	<b>381</b>	<b>233</b>

**5. ALTERNATIVES FOR IMPROVED SERVICE DELIVERY**

The family co-payment structure ensures that no family pays a co-payment if the family’s monthly income is below \$900. Family co-payments are structured so that a family whose income is below 100% of the federal poverty level pays no more than 10% of its gross monthly income for childcare. When the provider’s customary rate exceeds the Kentucky Child Care Maximum Payment Rate, families will be responsible for the difference plus the family co-payment.

Licensed or certified providers who are accredited by a national organization receive an additional two dollars per day above the maximum rate of pay. They also receive an additional one dollar per day for providing child care for non-traditional hours. Licensed, certified, or registered providers may receive an additional one dollar per day for care of children with special needs. These rates may be cumulative and do not exceed the amount charged to the general public for the same care.

As the Race to the Top-Early Learning Challenge grant is nearing the end of the no-cost extension, DCBS is focusing on clarifying policy and procedures; creating resources for providers, families, and staff; and building awareness of Kentucky All STARS across the state. DCBS continues to communicate updates regarding Kentucky All STARS. On April 11, 2018, a message was distributed communicating and comparing the STARS for KIDS NOW administrative regulations to the new administrative regulation for Kentucky All STARS. DCBS also performed a complete update of the DCBS Kentucky All STARS page

DCBS continues to work with the Office for Administrative and Technology Service (OATS) to design and implement the data management system for the Kentucky All STARS Program. This data management system for Kentucky All STARS went live on June 24, 2018. Providers can now see their current Kentucky All STARS ratings, correspondence, and program history completed under the Kentucky All STARS administrative regulation, and may apply for new ratings and submit Annual Quality Reviews through the Kentucky Integrated Child Care System (KICCS) system. Providers will have the option to submit via email and paper for process that were

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initiated outside of KICCS. All new processes will be submitted via KICCS. Coaches and raters have received training on how to support providers through this process.

### Other Quality Initiatives

The KIDS NOW Initiative, now transitioned to the Kentucky All STARS, has effected improvements in professional development of child care staff through the utilization of Tobacco Master Settlement dollars. The DCBS Division of Child Care approves training organizations, and the non-college scholarship program provides training and technical assistance to early care and education professionals for obtaining the Commonwealth Child Care Credential and the Child Development Associate. Monetary awards are issued for completing professional development educational achievements, and mini-grants for national accreditation of early childhood are provided to early care and education professionals, licensed centers, and certified homes increasing the quality of staff and programs.

238	KIDS NOW Child Development Associate Mini-Grants invoiced, for a total of \$100,950 during the second half of SFY 2018
864	Individuals have a Commonwealth Child Care Credential.
230	Milestone Achievement Awards for completion of a Commonwealth Child Care Credential, Child Development Associate (CDA), Director's Credential, Associate or Bachelor Degree in Early Care and Education issued during second half of SFY 2018, for a total amount of \$41,940
191	Related Educational Reimbursement Awards issued during the second half of SFY 2018 assisting Early Care and Education Professionals pursuing a CDA, Directors Credential, or an Associate or Bachelor Degree in Early Care and Education studies, for a total amount of \$19,050
2,186	Individuals have a Kentucky Director's Credential.