



**CABINET FOR HEALTH AND FAMILY SERVICES
DEPARTMENT FOR MEDICAID SERVICES**

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MEMORANDUM

TO: Behavioral Health Service Organizations (PT 03 / PL #A-11)
Community Mental Health Centers (PT 30 / PL #A-112)

FROM: Lee A. Guice, Director
Division of Policy and Operations

DATE: October 9, 2019

SUBJECT: Substance Use Disorder Residential Certification Notification

Overview: SUD Phase II

The Commonwealth of Kentucky received approval of the 1115 Substance Use Disorder (SUD) Demonstration Implementation Plan in October 2018. The Implementation Plan includes utilizing a nationally recognized SUD program-standard to set provider qualifications for residential treatment. The Department for Medicaid Services (DMS) adopted the American Society of Addiction Medicine (ASAM) Criteria as the standard for SUD residential treatment programs.

Beginning July 1, 2021, all enrolled SUD residential treatment facilities will be required to have an ASAM Level of Care (LOC) Certification.

Provisional Certification

DMS will allow all enrolled SUD residential treatment, BHSO Tier III, providers to obtain a *Provisional* Residential LOC Certification by Self-Attestation. If you are a BHSO Tier one (1) or Tier two (2) provider this does NOT apply to your services. All facilities that receive a Provisional Certification will be eligible to receive a waiver of the IMD exclusion to provide up to 96 treatment beds per Medicaid identification number. Provisionally certified providers may also be eligible to receive an enhanced rate for residential services rendered during the provisional period.

- **Self Attestation**
 - Providers will submit an attestation to DMS.
- **DMS Desk Audit**
 - DMS will utilize a checklist outlining the ASAM criteria by residential levels to review completed attestations.

- **Provisional Certification**

- Upon review of the all attestations, DMS will issue a letter of Provisional approval to each facility that submitted a complete Attestation containing a Provisional Certification.
- Provisional certification will be effective April 1, 2020 (or later approved date), with expiration June 30, 2021.

Projected Key Dates

- November 6, 2019: SUD Phase 2 Webinar
- November 15, 2019: Provisional Attestation Opens
- January 1, 2020: Provisional Attestation Deadline
- February 15, 2020: DMS Completes Desk Audit Reviews
- March 1, 2020: Letter of Approval
- April 1, 2020: Provisional Certification Effective Date
- July 1, 2021: ASAM LOC Certification Deadline

Webinar: November 6th, 2019

DMS will host a detailed informational webinar on November 6, 2019 at 1:00 PM regarding SUD Phase 2 and Residential Certification. To register, please see the attached link https://deloitte.zoom.us/webinar/register/WN_0o7lqn0-Toq1yNCGT6dNag or visit the DMS Website at <https://chfs.ky.gov/agencies/dms/Pages/default.aspx>. Follow up information will be sent out after the webinar.

Webinar topics will include:

- SUD Phase 2 Overview and Residential Provider Impacts
- Provisional Certification Policy Review
- Provisional Certification Submission Process Demonstration

What to do before the Webinar?

For additional information, please review the following prior to attending the webinar.

- What are the ASAM Levels of Care?
<https://www.asamcontinuum.org/knowledgebase/what-are-the-asam-levels-of-care/>
- ASAM Level of Care Certification
<https://www.asam.org/resources/level-of-care-certification>
- ASAM Certification Program FAQs
https://www.asam.org/docs/default-source/publications/asam-loc-program-faq-final-1-17-2018.pdf?sfvrsn=d0cd48c2_2

Should you have any questions regarding this communication, please submit to DMS.Issues@ky.gov.

LG/ah/kl